



SEO CONDITIONAL ORDER INSTRUCTIONS

KB BUDDY WTS & KB BUDDY PRO

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1. Definition

A pending order (**SEO**) is a Buy/Sell order that remains valid for one or multiple days with a predefined quantity and price.

- **Volume:** The number of securities set by the investor.
- **Order price:** The limit price set by the investor.
- **Market price type:** Select one of the following conditions: Matching Price, Best Ask Price, or Best Bid Price. The SEO order will be activated based on the following principles:
 - Buy Order: Activated when the market price (Matching Price, Best Ask Price) \geq the order price.
 - Sell Order: Activated when the market price (Matching Price, Best Bid Price) \leq the order price.
 - Orders will not be activated during the ATO and ATC sessions.
- **Trigger type:**
 - One-time trigger: The order is activated only once during its validity period when the condition is met.
 - Fill full quantity: The order remains active during its validity period and continues to be activated until the full quantity is matched.
- **Expiration date:** The order remains valid from the placement date until the Expiration Date or until the full volume is matched, whichever comes first.

2. Purpose

Helps investors place automated orders based on predefined conditions without needing to continuously monitor the market.

3. Order Cancellation/Modification Rules

- Modifications to the original order and any generated sub-orders are not allowed. Investors may cancel the original order and place a new one if needed.
- The original order can only be canceled if it is in the Pending Activation or Activated state. Cancellation is not allowed during the ATC session.
- When canceling the original order, the system will also cancel any generated sub-orders if they are not fully executed or only partially executed.
- Sub-orders of an SEO conditional order cannot be canceled individually

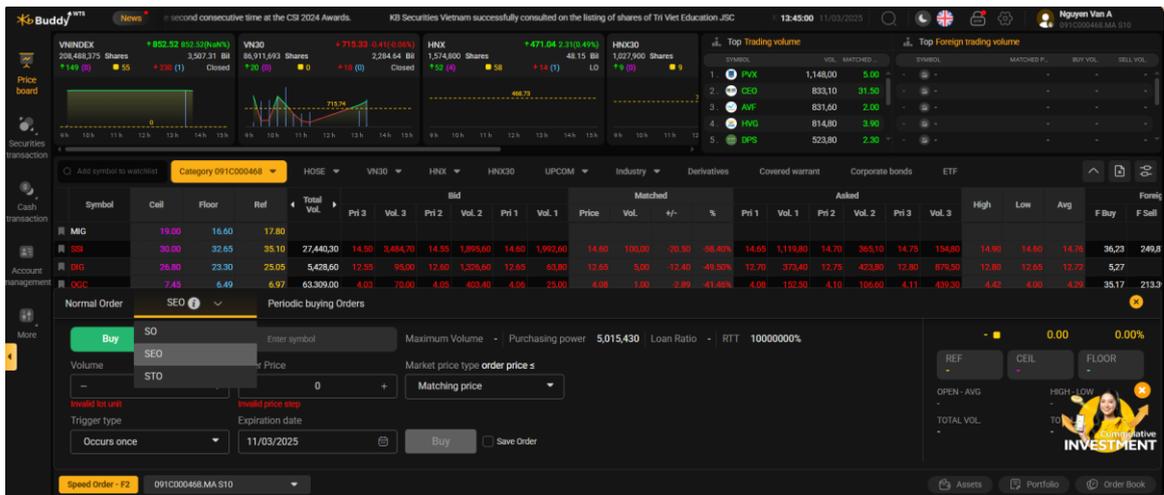
4. Example

❖ Example 1: Placing an SEO Order to Wait for a Stock Purchase with the Matched Price Activation Condition

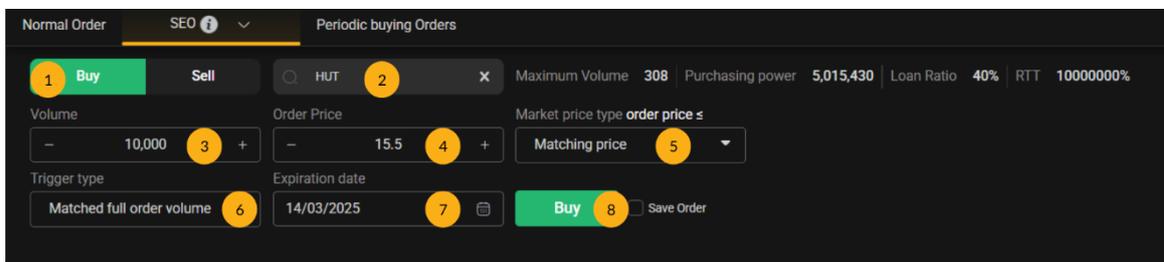
On March 4, 2025, the matched price of HUT stock is 17. The investor predicts that HUT's price will drop to 15.5 before rebounding and wants to place a buy order for 10,000 shares at 15.5 within the next week. The investor can set up an SEO conditional order as follows:

- **Step 1:** Log in to <https://kbbuddywts.kbsec.com.vn/>, go to **Trading > Place Order**, or press the "**Speed Order - F2**" button in the left corner of the screen. In the Conditional Order tab, select **SEO** Order.

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- **Step 2:** On the SEO Conditional Order placement screen, the investor enters the following order details:

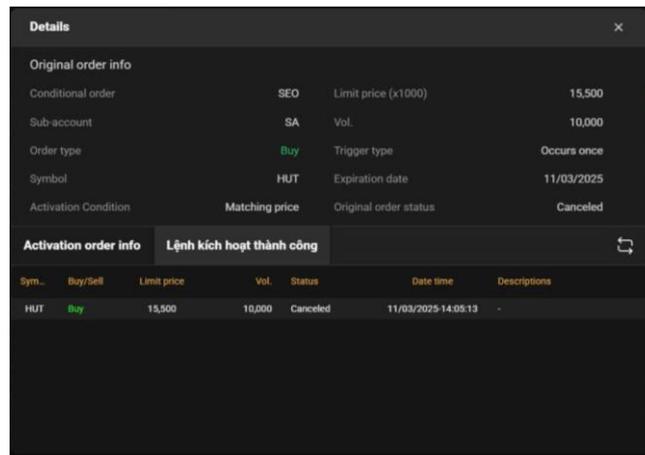


- ✓ **(1) Select Order Type: BUY**
- ✓ **(2) Symbol:** Enter **HUT**
- ✓ **(3) Quantity:** Enter **10,000** shares
- ✓ **(4) Order Price:** Enter **15.5**
- ✓ **(5) Market price type order price ≤:** Select **Matching price**
- ✓ **(6) Trigger type:** Since the investor wants to buy the full 10,000 shares, select **Matched full order volume**
- ✓ **(7) Expiration Date:** Enter **14/03/2025**
- **Step 3:** Click **BUY** and enter the OTP verification code to confirm the order.
- **Step 4:** Monitor the conditional order status in **Securities transaction > Order Book > Conditional Order Management.**



Click **View** to check the details of the conditional order.

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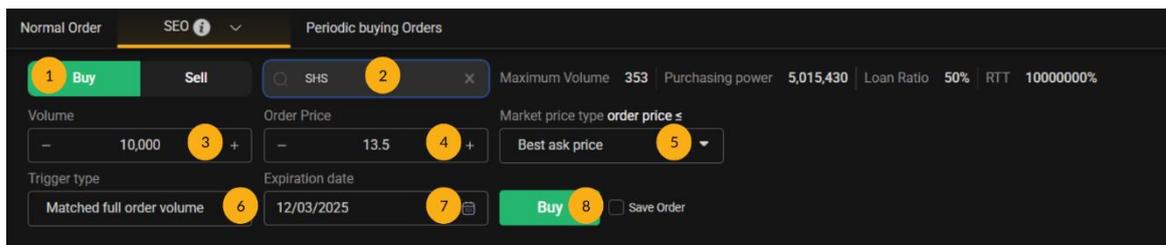


Order Activation Process:

- Case 1: On March 4, 2025, the matched price of HUT is 17, which is higher than the set price (15.5), so the conditional order is activated and generates a sub-order sent to the exchange with 10,000 shares at 15.5. Suppose the sub-order only matches 4,000 shares. On March 5, 2025, the matched price of HUT is 16, which is still higher than the set price (15.5), so the conditional order is activated again and generates a sub-order sent to the exchange with 6,000 shares at 15.5. Suppose the sub-order matches all 6,000 shares, the original conditional order is now completed as the full 10,000 shares have been matched.
- Case 2: On March 4, 2025, if the matched price of HUT does not meet the condition, the order is not activated. On March 5, 2025, if the matched price of HUT is 16, the condition is met, and the order is activated, generating a sub-order sent to the exchange with 10,000 shares at 15.5. Suppose the sub-order only matches 3,000 shares. On the following trading days, if the matched price of HUT continues to meet the condition, the conditional order will keep activating until the full 10,000 shares are matched or until it expires at the end of March 14, 2025.

❖ **Example 2: Placing an SEO Order to Wait for a Stock Purchase with the "Best Ask Price" Activation Condition**

On March 10, 2025, the matched price of SHS is 14.4. The investor wants to buy 10,000 SHS shares at a price of 13.5 and have the order triggered as soon as the market shows a best ask price (sell surplus) at or below the set price, without waiting for the matched price. The investor can set up an SEO conditional order with the following details:



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- ✓ **(1) Select Order Type: BUY**
- ✓ **(2) Symbol:** Enter **SHS**
- ✓ **(3) Quantity:** Enter **10,000 shares**
- ✓ **(4) Order Price:** Enter **13.5**
- ✓ **(5) Market price type order price ≤:** Select **Best ask price**
- ✓ **(6) Trigger type:** Since the investor wants to buy the full 10,000 shares, select **Matched full order volume**
- ✓ **(7) Expiration Date:** Enter **12/03/2025**

Order Activation Process

- In the trading session on March 10, 2025, if the best ask price (sell surplus) appears at 14, which is higher than the set price (13.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 13.5. Suppose the sub-order does not get matched. On the following trading days, if the best ask price meets the condition, the original conditional order will continue to be activated until the full quantity is matched or until it expires at the end of March 12, 2025.
- In the trading session on March 10, 2025, if the best ask price (sell surplus) of SHS appears at 13.5, which is equal to the set price (13.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 13.5. Suppose the sub-order matches 3,000 shares. On the following trading days, if the best ask price continues to meet the condition, the original conditional order will continue to be activated until the full quantity is matched or until it expires at the end of March 12, 2025.

❖ **Example 3: Placing an SEO Order to Sell Stocks for Profit-Taking**

The investor holds 10,000 HCM shares. On March 4, 2025, the market price is 31. The investor expects the HCM stock price to rise further and wants to sell for profit when the matched price reaches 33.5. The investor can place a conditional SEO order with the following details:

The screenshot shows a trading platform interface with the following details for a conditional SEO order:

- Order Type: **Sell** (1)
- Symbol: **HCM** (2)
- Quantity: **10,000** (3)
- Order Price: **33.5** (4)
- Market price type order price ≤: **Matching price** (5)
- Trigger type: **Occurs once** (6)
- Expiration date: **05/03/2025** (7)
- Order Type: **Sell** (8)

- ✓ **(1) Select Order Type: SELL**
- ✓ **(2) Symbol:** Enter **HCM**
- ✓ **(3) Quantity:** Enter **10,000 shares**
- ✓ **(4) Order Price:** Enter **33.5**
- ✓ **(5) Market price type order price ≤:** Select **Matching price**
- ✓ **(6) Trigger type:** Select **Occurs once**
- ✓ **(7) Expiration Date:** Enter **05/03/2025**

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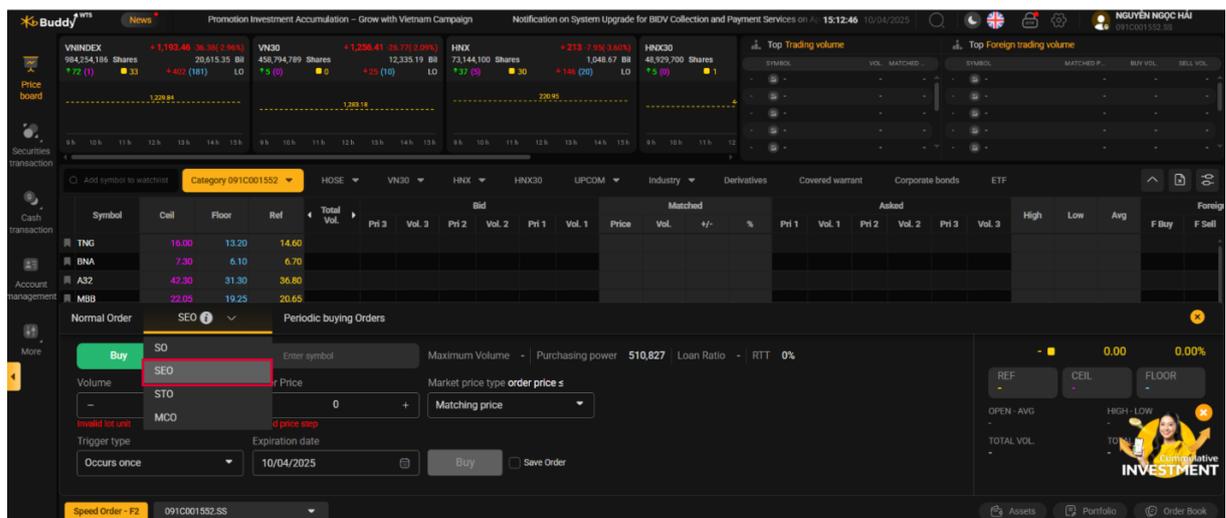
Order Activation Process

- In the trading session on March 4, 2025, if the matched price of HCM is 31, which is lower than the set price (33.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 33.5. The original conditional order will expire at the end of March 4, 2025, as the investor has chosen the "Occurs once" mode.
- In the trading session on March 4, 2025, if the matched price of HCM is 34, which is higher than the set price (33.5), the conditional order will not be activated. On March 5, 2025, if the matched price of HCM is 32, which is lower than the set price (33.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 33.5. The original conditional order will expire at the end of March 4, 2025, as the investor has chosen the "Occurs once" mode.

5. Steps to Place an Order

❖ KB Buddy WTS

- **Step 1:** The investor logs in to <https://kbbuddywts.kbsec.com.vn/>; selects **Trading > Place Order** or presses the **"Speed Order – F2"** button.
- **Step 2:** Select the trading sub-account, choose the **Conditional Order** tab, then select **SEO Order**.

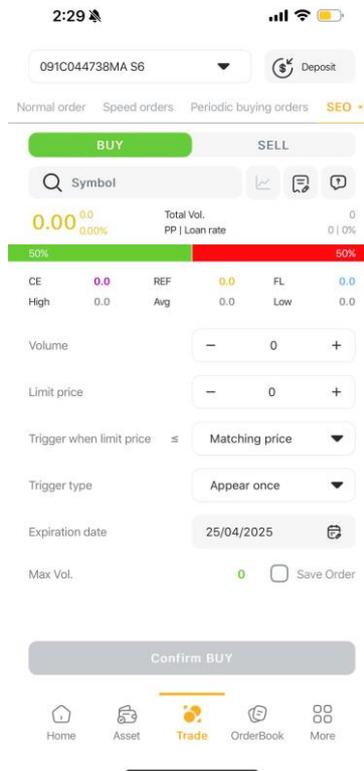


- **Step 3:** Enter the order information:
 - Select **Buy/Sell**
 - Enter the **Symbol**
 - Enter the **Volume**
 - Enter the **Order Price**
 - Choose Trigger when **order price ≤: Matching Price** or **Best Bid/Ask Price**
 - Select **Trigger Type**
 - Enter **Expiration Date**
 - Click **Buy/Sell**
- **Step 4:** On the Order Confirmation screen, enter the OTP verification code to complete the process

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❖ **KB Buddy Pro**

- **Step 1:** Investors log in to securities account on the **KB Buddy Pro** app.
- **Step 2:** Select **Trade**
- **Step 3:** Select **Conditional Order**, select **SEO**



- Click **Buy/Sell**
- Enter **Stock Symbol**
- Enter **Volume**
- Enter **Limit price**
- Choose Trigger when **limit price ≤: Matching Price** or **Best Bid/Ask Price**
- Select **Trigger type**
- Enter **Expiration date**
- Click **Confirm Buy/Sell**

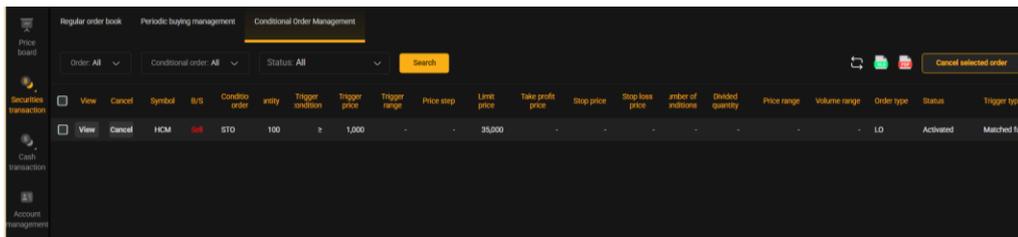
6. Managing Conditional Orders

❖ **KB Buddy WTS**

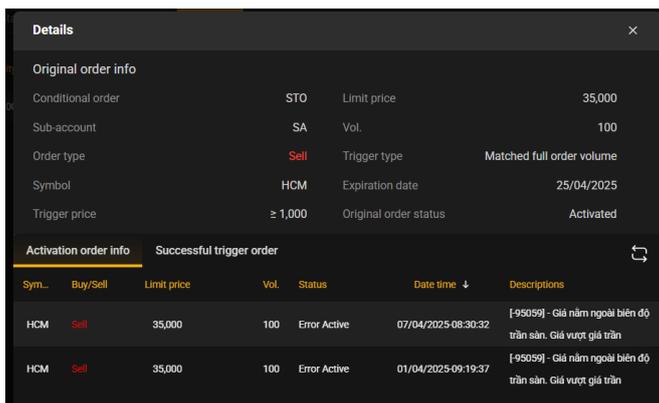
Investors can look up and monitor their SEO conditional orders via the **KB Buddy WTS** platform under the menu: **Securities transaction / Order Book / Conditional Orders Managemets**

- Orders can be filtered by the following criteria: Order type (buy/sell), type of conditional order, and status.

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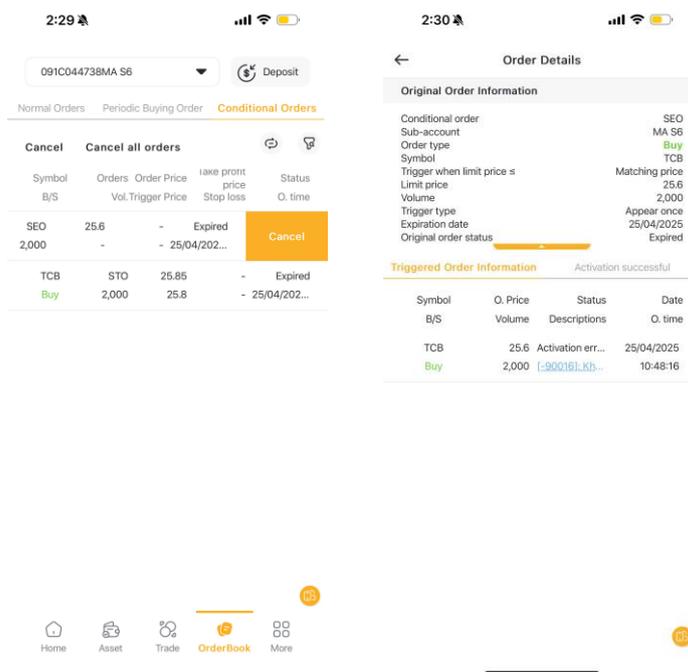
- Select **"Cancel"** if the client wants to cancel the original order (for orders that are allowed to be canceled).
- Select **"View"** to see detailed information about the original order and the triggered order.



❖ **KB Buddy Pro**

Investors can view and track conditional orders during the day in **Order Book/Conditional Orders**.

- Orders can be filtered by criteria: **Order Type (Buy/Sell), Conditional Order Type, and Status**.
- Swipe left and select **"Cancel"** if the investor wants to cancel the original order (for orders that allow cancellation).
- Click on each order line to view detailed information about the original order and triggered orders.



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