

# **SEO CONDITIONAL ORDER INSTRUCTIONS**

KB BUDDY WTS & KB BUDDY PRO

### **Table of Contents**

1.	Definition	. 3
2.	Purpose	. 3
3.	Order Cancellation/Modification Rules	. 3
4.	Example	. 3
5.	Steps to Place an Order	. 7
6.	Managing Conditional Orders	. 8

### 1. Definition

A pending order **(SEO)** is a Buy/Sell order that remains valid for one or multiple days with a predefined quantity and price.

- **Volume**: The number of securities set by the investor.
- **Order price**: The limit price set by the investor.
- **Market price type**: Select one of the following conditions: Matching Price, Best Ask Price, or Best Bid Price. The SEO order will be activated based on the following principles:
  - Buy Order: Activated when the market price (Matching Price, Best Ask Price) ≥ the order price.
  - Sell Order: Activated when the market price (Matching Price, Best Bid Price) ≤ the order price.
  - Orders will not be activated during the ATO and ATC sessions.

### Trigger type:

- One-time trigger: The order is activated only once during its validity period when the condition is met.
- Fill full quantity: The order remains active during its validity period and continues to be activated until the full quantity is matched.
- **Expiration date**: The order remains valid from the placement date until the Expiration Date or until the full volume is matched, whichever comes first.

### 2. Purpose

Helps investors place automated orders based on predefined conditions without needing to continuously monitor the market.

### 3. Order Cancellation/Modification Rules

- Modifications to the original order and any generated sub-orders are not allowed. Investors may cancel the original order and place a new one if needed.
- The original order can only be canceled if it is in the Pending Activation or Activated state. Cancellation is not allowed during the ATC session.
- When canceling the original order, the system will also cancel any generated sub-orders if they are not fully executed or only partially executed.
- Sub-orders of an SEO conditional order cannot be canceled individually

### 4. Example

### Example 1: Placing an SEO Order to Wait for a Stock Purchase with the Matched Price Activation Condition

On March 4, 2025, the matched price of HUT stock is 17. The investor predicts that HUT's price will drop to 15.5 before rebounding and wants to place a buy order for 10,000 shares at 15.5 within the next week. The investor can set up an SEO conditional order as follows:

 Step 1: Log in to <u>https://kbbuddywts.kbsec.com.vn/</u>, go to Trading > Place Order, or press the "Speed Order - F2" button in the left corner of the screen. In the Conditional Order tab, select SEO Order.

# **★** KB Securities

🗡 Bud	idý <sup>twrs</sup> 🕕		ond consecuti	ve time at the	CSI 2024 Awa	rds.	KB Sec	urities Vietr	nam succe	essfully co	insulted on	the listing	of shares of	Tri Viet Edu	ication J!	×C	13:45:0	0 11/03/2		D.   (	S 🛟		छ   🤅	Nguye	<b>in Van A</b> 00468.MA S1	10
	VNINDEX			VN30				HNX					HNX30			Top Tradin	g volume				Top Foreign	trading vol	lume			
~	208,488,375 Share	55 <b>- 1 1 1 1</b> (1)	3,507.31 Bil	86,911,693	Shares		2,284.64 Bil	1,574,80	0 Shares			48.15 Bil	1,027,900 \$	hares												LL VOL
Price	• 149 (0)		Closed		-0		Closed		-							🖲 PVX		,148,00								• :
board	-		T .	A												🐏 CEO		833,10								•
				\{	71	24										AVF		831,60			8 -					· · ·
- 102 ji ji																😕 HVG		814,80								•
Securities																e dps		523,80			8 ·					
transaction		o watchlist	ategory 091C	000468 👻																						φφ
_ <sup>رو</sup>					. Total .			Bie					Matc	hed				As	ked							Foreiç
Cash transaction	Symbol	Cell	Floor	Ref	Vol.	Pri 3	Vol. 3	Pri 2	Vol. 2	Pri 1	Vol. 1	Price				Pri 1	Vol. 1	Pri 2	Vol. 2	Pri 3	Vol. 3	High	Low	Avg	F Buy	F Sell
CI GI I D'G CI CI CI CI	MIG																									
<b>E</b> 3					27,440,30																				36,23	249,8
Account					5,428,60																				5,27	
nanagement	R OGC				63.309.00																				35.17	213.3
_	Normal Order	SEO	<b>0</b> ~	Perie	odic buying	Orders																				8
- 68				_																						
More	Buy	50										ower <b>5,0</b>	015,430			ITT 100	00000%							0.00	0.0	00%
4	Volumo	SEO		r Drice					tuno or	lar orlea																
	volume							arket price	- type or	er price																
					0			Matching	price															HIGH - U	ow 💦	8
																								1.7	( B)	
	Trigger type			Expiration d	late				_																1 M	Inthe
	Occurs of	nce		11/03/20	25		6			Save Ord	ler													IN	VESTM	ENT
	Speed Order - I	F2 091C00																			Fa As				© Order	Book

- **Step 2**: On the SEO Conditional Order placement screen, the investor enters the following order details:

Normal Order	SEO 👔	$\sim$	Periodic buying	Orders	
1 Buy	Sell			×	Maximum Volume 308 Purchasing power 5,015,430 Loan Ratio 40% RTT 10000000%
Volume			Order Price		Market price type <b>order price ≤</b>
	10,000 3	+	– 15.5	4 +	Matching price 5 -
Trigger type			Expiration date		
Matched fu	Il order volume	6	14/03/2025	7 🖨	Buy 8 Save Order

- ✓ (1) Select Order Type: BUY
- ✓ (2) Symbol: Enter HUT
- ✓ (3) Quantity: Enter 10,000 shares
- ✓ (4) Order Price: Enter 15.5
- ✓ (5) Market price type order price ≤: Select Matching price
- ✓ (6) Trigger type: Since the investor wants to buy the full 10,000 shares, select Matched full order volume
- ✓ (7) Expiration Date: Enter 14/03/2025
- **Step 3**: Click BUY and enter the OTP verification code to confirm the order.
- Step 4: Monitor the conditional order status in Securities transaction > Order Book > Conditional Order Management.

👋 Bu	ddy <sup>f wrs</sup> News Corp	orate Excellen	ce Award" categor	y at APEA 2024	Invest	ment Accur	nulation – G	Grow with Vietnam	Campaig	n Fr	ee Derivative	s, Confi	dent Trading	13:56:33			C 🏶		ଛ   🧕	Nguyen V 091C0004	I <b>N A</b> 8.MA S10	
	VNINDEX + 852.59	852.59(NaN%)	VN30			HNX			11(0.49%)	HNX30			Top Trading				Top Foreig	gn trading vo	lume			
~	2 Place Order	3,782.02 Bil Closed	86,911,693 Share	es 0 +10 (0)	2,284.64 Bil Closed	1,574,800 1,574,800	Shares		48.15 Bil LO	1,027,900 S	hares 9		SYMBOL	101.5	VOL. MATCHE		SHABOL			807 VC	. SEU	VOL.
Price board	D Order Book	<b>.</b>										2.	B HQC	80,94	8,60 1		2. — ПА			2,042,0	1,84	47,12
				715.74	<u> </u>							3.	ΑΠ	71,50	3,90 4		3. 🕘 HPG			244,6	1,10	1,33
- ¥2,	Rights Issue Subscription											4.	DXG	68,27	9,20 9		4. 🕑 DXG			64,3	1,04	0,62
Securities transaction	Confirm Order											а.		04,92	u,uu 10					0,4		0,04
	O Convertible Bonds	ategory 091Cl	000468 👻																			
	A. Stock Transfer	Floor	Ref 4	Total		Bid				Matc	hed				Asked			Hinh	Low	Avn		Fore
transaction				Vol. Pri 3	Vol. 3		Vol. 2	Pri 1 Vol. 1	Price					Vol. 1	Pri 2 Vol.	2 P	ri 3 Vol. 3				Buy	F Sell

Click **View** to check the details of the conditional order.

Back to Table of contents

# ₭B Securities



#### **Order Activation Process:**

- Case 1: On March 4, 2025, the matched price of HUT is 17, which is higher than the set price (15.5), so the conditional order is activated and generates a sub-order sent to the exchange with 10,000 shares at 15.5. Suppose the sub-order only matches 4,000 shares. On March 5, 2025, the matched price of HUT is 16, which is still higher than the set price (15.5), so the conditional order is activated again and generates a sub-order sent to the exchange with 6,000 shares at 15.5. Suppose the sub-order matches all 6,000 shares, the original conditional order is now completed as the full 10,000 shares have been matched.
- Case 2: On March 4, 2025, if the matched price of HUT does not meet the condition, the order is not activated. On March 5, 2025, if the matched price of HUT is 16, the condition is met, and the order is activated, generating a sub-order sent to the exchange with 10,000 shares at 15.5. Suppose the sub-order only matches 3,000 shares. On the following trading days, if the matched price of HUT continues to meet the condition, the conditional order will keep activating until the full 10,000 shares are matched or until it expires at the end of March 14, 2025.

### Example 2: Placing an SEO Order to Wait for a Stock Purchase with the "Best Ask Price" Activation Condition

On March 10, 2025, the matched price of SHS is 14.4. The investor wants to buy 10,000 SHS shares at a price of 13.5 and have the order triggered as soon as the market shows a best ask price (sell surplus) at or below the set price, without waiting for the matched price. The investor can set up an SEO conditional order with the following details:



Back to Table of contents

- ✓ (1) Select Order Type: BUY
- ✓ (2) Symbol: Enter SHS
- ✓ (3) Quantity: Enter 10,000 shares
- ✓ (4) Order Price: Enter 13.5
- ✓ (5) Market price type order price ≤: Select Best ask price
- ✓ (6) Trigger type: Since the investor wants to buy the full 10,000 shares, select Matched full order volume
- ✓ (7) Expiration Date: Enter 12/03/2025

### **Order Activation Process**

- In the trading session on March 10, 2025, if the best ask price (sell surplus) appears at 14, which is higher than the set price (13.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 13.5. Suppose the sub-order does not get matched. On the following trading days, if the best ask price meets the condition, the original conditional order will continue to be activated until the full quantity is matched or until it expires at the end of March 12, 2025.
- In the trading session on March 10, 2025, if the best ask price (sell surplus) of SHS appears at 13.5, which is equal to the set price (13.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 13.5. Suppose the sub-order matches 3,000 shares. On the following trading days, if the best ask price continues to meet the condition, the original conditional order will continue to be activated until the full quantity is matched or until it expires at the end of March 12, 2025.

### \* Example 3: Placing an SEO Order to Sell Stocks for Profit-Taking

The investor holds 10,000 HCM shares. On March 4, 2025, the market price is 31. The investor expects the HCM stock price to rise further and wants to sell for profit when the matched price reaches 33.5. The investor can place a conditional SEO order with the following details:

Normal Order	SEO (	ð ~	Periodic	buying Orders	
Buy	S	ell 1	Q HCM	2 x	X Maximum Volume 0 Purchasing power 5,015,430 Loan Ratio 50% RTT 10000000%
Volume			Order Price		Market price type <b>order price</b> ≥
	10,000	3 +		33.5 4 +	+ Matching price 5
Trigger type			Expiration date		
Occurs once	е	6	05/03/2025	7 🖯	Sell 8 Save Order
Speed Order - F2	091C0004	168.MA S10			

- ✓ (1) Select Order Type: SELL
- ✓ (2) Symbol: Enter HCM
- ✓ (3) Quantity: Enter 10,000 shares
- ✓ (4) Order Price: Enter 33.5
- ✓ (5) Market price type order price ≤: Select Matching price
- ✓ (6) Trigger type: Select Occurs once
- ✓ (7) Expiration Date: Enter 05/03/2025

### **Order Activation Process**

- In the trading session on March 4, 2025, if the matched price of HCM is 31, which is lower than the set price (33.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 33.5. The original conditional order will expire at the end of March 4, 2025, as the investor has chosen the "Occurs once" mode.
- In the trading session on March 4, 2025, if the matched price of HCM is 34, which is higher than the set price (33.5), the conditional order will not be activated. On March 5, 2025, if the matched price of HCM is 32, which is lower than the set price (33.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 33.5. The original conditional order will expire at the end of March 4, 2025, as the investor has chosen the "Occurs once" mode.

### 5. Steps to Place an Order

### ✤ KB Buddy WTS

- Step 1: The investor logs in to <u>https://kbbuddywts.kbsec.com.vn/;</u> selects Trading > Place
  Order or presses the "Speed Order F2" button.
- Step 2: Select the trading sub-account, choose the Conditional Order tab, then select SEO Order.

<b>⊀</b> ⊌ Buc		ws	Promotion	nvestment Act	cumulation	- Grow wit	h Vietnam C	ampaign	1	Notificatio	n on Systen	n Upgrade	for BIDV Co	lection and F	Payment S	Services on	Ap 15:12:4	<b>46</b> 10/04	2025	Q,	C 🏶		순 ()	91C0	<b>/ÊN NGỌC H</b> 101552.88	IÅI
_	VNINDEX 984254186 Shares		36.38(-2.96%) 20.615.35. Bit	VN30	4." Shares		6.77(-2.09%) 2 335 19 Bit	HNX 73144	100 Share	н	+213-7.9	15(-3.60%) 48.67 Bil	HNX30	Shares		Top Tradin	g volume				Top Foreig	n trading w	olume			
~	*72 (1) 🛛 33	+ 402 (1	81) LO	*5 (0)	0	+25 (10)	LO		) -	30	+ 146 (20)	LO	*5 (0)	<b>-</b> 1		SYMBOL					SYMBOL					SELL VOL.
Price board		1,229,84									95															-
- <b>1</b> 2																										-
Securities																										
transaction		vatchiist C	ategory 091C0	01552 💌																						96 95
್ರಿ	0	0-1	et	8-4	Total			B	lid				Ma	ched				A	ked			1 dist.				Foreig
Cash transaction	Symbol	Cell	FIOOT	Ref	Vol.	Pri 3	Vol. 3	Pri 2	Vol. 2	Pri 1	Vol. 1	Price				Pri 1	Vol. 1	Pri 2	Vol. 2	Pri 3	Vol. 3	High	LOW	Avg	F Buy	F Sell
	🗏 TNG																									ì
<b>E</b> 3	🗏 BNA																									
Account	A32			36.80																						
nanagement	МВВ		19.25	20.65																						
68	Normal Order	SEO	0 ×	Perio	dic buying	Orders																				×
More	Buy	SO								- Pur		ower 5'	10,827 L		-   RT1	⊺ 0%								0.00	0	.00%
4		SEO																								
	voiume	STO		r Price			M	arket pric	се туре <b>о</b>	raer pric	8≤															
		MCO			0		+	Matching	price															HIGH - L	.ow	
				d price st																				-		
	Trigger type			Expiration da	ate																				11	and a share
	Occurs once		•	10/04/202	25			Buy		Save O	der													IN	VEST	IENT
	Speed Order - F2	091C001																								er Book

- **Step 3:** Enter the order information:
  - Select Buy/Sell
  - Enter the **Symbol**
  - Enter the **Volume**
  - Enter the **Order Price**
  - Choose Trigger when order price ≤: Matching Price or Best Bid/Ask Price
  - Select Trigger Type
  - o Enter Expiration Date
  - o Click Buy/Sell
- **Step 4**: On the Order Confirmation screen, enter the OTP verification code to complete the process

### \* KB Buddy Pro

- Step 1: Investors log in to securities account on the KB Buddy Pro app.
- Step 2: Select Trade
- Step 3: Select Conditional Order, select SEO

	BUY			SELL	
Q	Symbol			2	
0.00	0.0	Total \ PP   Li	/ol. pan rate		0 0   0%
50%					50%
CE High	<b>0.0</b>	REF Avg	0.0	FL Low	0.0
Volume			-	0	+
Limit pri	се		-	0	+
Trigger	when limit p	rice ≤	Matchir	ng price	•
Trigger t	ype		Appear	once	•
Expiratio	on date		25/04/2	025	ø
Max Vol			0	🗋 Sa	ive Order
					_

- o Click Buy/Sell
- o Enter Stock Symbol
- o Enter Volume
- o Enter Limit price
- Choose Trigger when limit price ≤: Matching Price or Best Bid/Ask Price
- o Select Trigger type
- o Enter Expiration date
- o Click Confirm Buy/Sell

#### 6. Managing Conditional Orders

### \* KB Buddy WTS

Investors can look up and monitor their SEO conditional orders via the **KB Buddy WTS** platform under the menu: **Securities transaction / Order Book / Conditional Orders Managemets** 

- Orders can be filtered by the following criteria: Order type (buy/sell), type of conditional order, and status.



- Select "**Cancel**" if the client wants to cancel the original order (for orders that are allowed to be canceled).
- Select "**View**" to see detailed information about the original order and the triggered order.



### KB Buddy Pro

Investors can view and track conditional orders during the day in Order Book/Conditional Orders.

- Orders can be filtered by criteria: Order Type (Buy/Sell), Conditional Order Type, and Status.
- Swipe left and select "**Cancel**" if the investor wants to cancel the original order (for orders that allow cancellation).
- Click on each order line to view detailed information about the original order and triggered orders.



<u>Back to Table of contents</u>