



SEO CONDITIONAL ORDER INSTRUCTIONS

KB BUDDY WTS & KB BUDDY

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1. Definition

A stop entry order (**SEO**) is a Buy/Sell order that remains valid for one or multiple days with a predefined quantity and price.

- **Volume:** The number of securities set by the investor.
- **Order price:** The limit price set by the investor.
- **Market price type:** Select one of the following conditions: Matching Price, Best Ask Price, or Best Bid Price. The SEO order will be activated based on the following principles:
 - Buy Order: Activated when the market price (Matching Price, Best Ask Price) \geq the order price.
 - Sell Order: Activated when the market price (Matching Price, Best Bid Price) \leq the order price.
 - Orders will not be activated during the ATO and ATC sessions.
- **Trigger type:**
 - One-time trigger: The order is activated only once during its validity period when the condition is met.
 - Fill full quantity: The order remains active during its validity period and continues to be activated until the full quantity is matched.
- **Expiration date:** The order remains valid from the placement date until the Expiration Date or until the full volume is matched, whichever comes first.

2. Purpose

Helps investors place automated orders based on predefined conditions without needing to continuously monitor the market.

3. Order Cancellation/Modification Rules

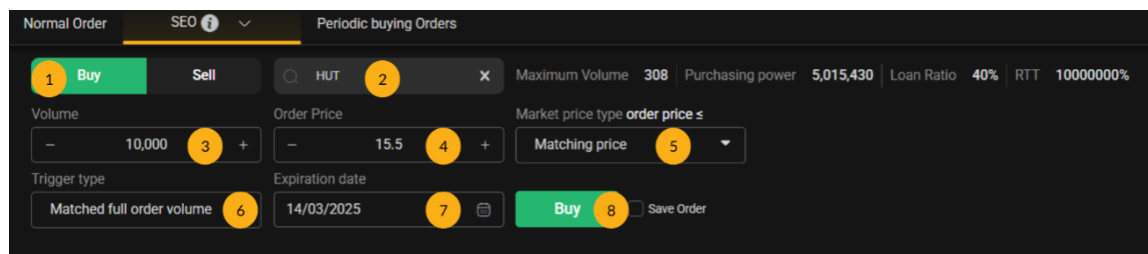
- Modifications to the original order and any generated sub-orders are not allowed. Investors may cancel the original order and place a new one if needed.
- The original order can only be canceled if it is in the Pending Activation or Activated state. Cancellation is not allowed during the ATC session.
- When canceling the original order, the system will also cancel any generated sub-orders if they are not fully executed or only partially executed.
- Sub-orders of an SEO conditional order cannot be canceled individually

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4. Example

❖ Example 1: Placing an SEO Order to Wait for a Stock Purchase with the Matched Price Activation Condition

On 04/03/2025, the matched price of HUT stock currently is 17. The investor predicts that HUT's price will drop to 15.5 before rebounding and wants to place a buy order for 10,000 shares at 15.5 within the next week. The investor can set up an SEO conditional order as follows:



The screenshot shows the 'Normal Order' tab with the 'SEO' dropdown selected. The order type is 'Buy'. The symbol is 'HUT'. The volume is '10,000'. The order price is '15.5'. The market price type is 'order price ≤' with 'Matching price' selected. The trigger type is 'Matched full order volume'. The expiration date is '14/03/2025'. The 'Buy' button is highlighted.

- ✓ (1) Select Order Type: **BUY**
- ✓ (2) Symbol: Enter **HUT**
- ✓ (3) Quantity: Enter **10,000** shares
- ✓ (4) Order Price: Enter **15.5**
- ✓ (5) Market price type **order price ≤**: Select **Matching price**
- ✓ (6) Trigger type: Since the investor wants to buy the full 10,000 shares, select **Matched full order volume**
- ✓ (7) Expiration Date: Enter **14/03/2025**
- ✓ (8) Click **Buy**, enter OTP to finish.

Order Activation Process:

- Case 1: On 04/03/2025, the matched price of HUT currently is 17, which is higher than the set price (15.5), so the conditional order is activated and generates a sub-order sent to the exchange with 10,000 shares at 15.5. Suppose the sub-order only matches 4,000 shares. On 05/03/2025, the matched price of HUT is 16, which is still higher than the set price (15.5), so the conditional order is activated again and generates a sub-order sent to the exchange with 6,000 shares at 15.5. Suppose the sub-order matches all 6,000 shares, the original conditional order is now completed as the full 10,000 shares have been matched.
- Case 2: On 04/03/2025, if the matched price of HUT does not meet the condition, the order is not activated. On 05/03/2025, if the matched price of HUT is 16, the condition is met, and the order is activated, generating a sub-order sent to the exchange with 10,000 shares at 15.5. Suppose the sub-order only matches 3,000 shares. On the following trading days, if the matched price of HUT continues to meet the condition, the conditional order will keep activating until the full 10,000 shares are matched or until it expires at the end of March 14, 2025.

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❖ Example 2: Placing an SEO Order to Wait for a Stock Purchase with the "Best Ask Price" Activation Condition

On 10/03/2025, the matched price of SHS currently is 14.4. The investor wants to buy 10,000 SHS shares at a price of 13.5 and have the order triggered as soon as the market shows a best ask price (sell surplus) at or below the set price, without waiting for the matched price.

The investor can set up an SEO conditional order with the following details:

The screenshot shows the 'Normal Order' tab with 'SEO' selected. The order type is 'Buy'. The symbol is 'SHS'. The quantity is '10,000'. The order price is '13.5'. The market price type is 'Best ask price'. The trigger type is 'Matched full order volume'. The expiration date is '12/03/2025'. The 'Buy' button is highlighted.

- ✓ (1) Select Order Type: **BUY**
- ✓ (2) Symbol: Enter **SHS**
- ✓ (3) Quantity: Enter **10,000**
- ✓ (4) Order Price: Enter **13.5**
- ✓ (5) Market price type order price \leq : Select **Best ask price**
- ✓ (6) Trigger type: Since the investor wants to buy the full 10,000 shares, select **Matched full order volume**
- ✓ (7) Expiration Date: Enter **12/03/2025**
- ✓ (8) Click **Buy**, enter OTP to finish

Order Activation Process

- In the trading session on 10/03/2025, if the best ask price (sell surplus) appears at 14, which is higher than the set price (13.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 13.5. Suppose the sub-order does not get matched. On the following trading days, if the best ask price meets the condition, the original conditional order will continue to be activated until the full quantity is matched or until it expires at the end of 12/03/2025.
- In the trading session on 10/03/2025, if best ask price (sell surplus) of SHS appears at 13.5, which is equal to the set price (13.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 13.5. Suppose the sub-order matches 3,000 shares. On the following trading days, if the best ask price continues to meet the condition, the original conditional order will continue to be activated until the full quantity is matched or until it expires at the end of 13/03/2025.

❖ Example 3: Placing an SEO Order to Sell Stocks for Profit-Taking

The investor holds 10,000 HCM shares. On 04/03/2025, the market price currently is 31. The investor expects the HCM stock price to rise further and wants to sell for profit when the matched price reaches 33.5. The investor can place a conditional SEO order with the following details:

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- ✓ (1) Select Order Type: **SELL**
- ✓ (2) Symbol: Enter **HCM**
- ✓ (3) Quantity: Enter **10,000**
- ✓ (4) Order Price: Enter **33.5**
- ✓ (5) Market price type order price \leq : Select **Matching price**
- ✓ (6) Trigger type: Select **Occurs once**
- ✓ (7) Expiration Date: Enter **05/03/2025**
- ✓ (8) Click **Sell**, enter OTP to finish

Order Activation Process

- If the trading session on 04/03/2025, the matched price of HCM is 31, which is lower than the set price (33.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 33.5. The original conditional order will expire at the end of 14/05/2025, as the investor has chosen the "Occurs once" mode.
- In the trading session on 04/03/2025, if the matched price of HCM is 34, which is higher than the set price (33.5), the conditional order will not be activated. On 05/03/2025, if the matched price of HCM is 32, which is lower than the set price (33.5), the conditional order will be activated, generating a sub-order sent to the exchange with a quantity of 10,000 shares at a price of 33.5. The original conditional order will expire at the end of 04/03/2025, as the investor has chosen the "Occurs once" mode.

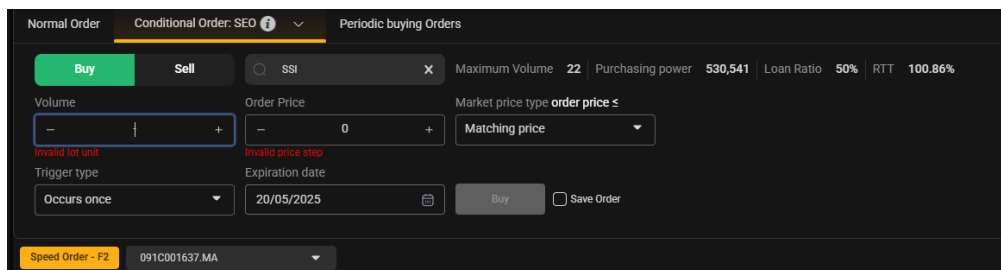
5. Steps to Place an Order

❖ KB Buddy WTS

- Step 1: The investor logs in to <https://kbbuddywts.kbsec.com.vn/>
- Step 2: Selects **Securities transaction > Place conditional order** or select the **"Speed Order - F2"** on the bottom.

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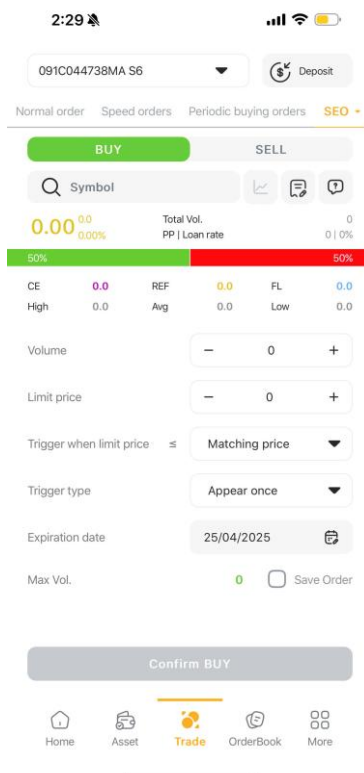
- Step 3: choose the **Conditional Order** tab, then select **SEO**.



- Step 4: Enter the order information:
 - o Select **Buy/Sell**
 - o Enter the **Symbol**
 - o Enter the **Volume**
 - o Enter the **Order Price**
 - o Choose Trigger when **order price \leq Matching Price** or **Best Bid/Ask Price**
 - o Select **Trigger Type**
 - o Enter **Expiration Date**
 - o Click **Buy/Sell**
- Step 5: On the Order Confirmation screen, enter the OTP verification code to complete the process

❖ **KB Buddy**

- Step 1: Investors log in to securities account on the **KB Buddy** app.
- Step 2: Select **Trade**
- Step 3: Select **Conditional Order**, select **SEO**



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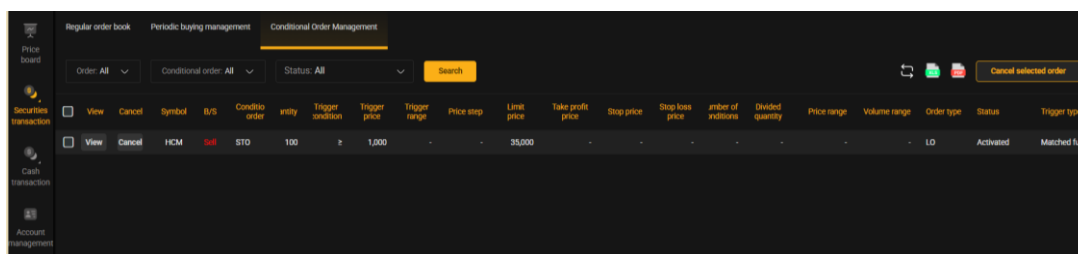
- Choose **Buy/Sell**
- Enter **Stock Symbol**
- Enter **Volume**
- Enter **Limit price**
- Choose Trigger when **limit price \leq Matching Price** or **Best Bid/Ask Price**
- Select **Trigger type**
- Enter **Expiration date**
- Click **Confirm Buy/Sell**

6. Managing Conditional Orders

❖ KB Buddy WTS

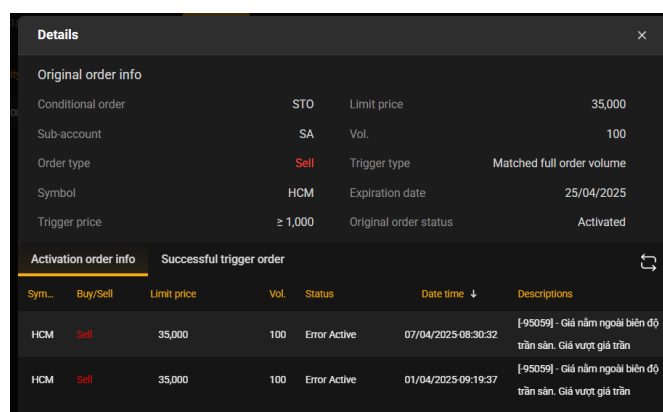
Investors can look up and monitor their SEO conditional orders via the **KB Buddy WTS** platform under the menu: **Securities transaction/Order Book/Conditional Orders Management**.

- Orders can be filtered by the following criteria: Order type (buy/sell), type of conditional order, and status.



Order	Symbol	B/S	Conditional order	Trigger condition	Trigger price	Trigger range	Price step	Limit price	Take profit price	Stop price	Stop loss price	Order of editions	Divided quantity	Price range	Volume range	Order type	Status	Trigger type
View	Cancel	HCM	Sell	STO	100	≥	1,000	-	-	35,000	-	-	-	-	-	LO	Activated	Matched full o

- Select **"Cancel"** if the client wants to cancel the original order (for orders that are allowed to be canceled).
- Select **"View"** to see detailed information about the original order and the triggered order.



Details						
Original order info						
Conditional order	STO	Limit price	35,000			
Sub-account	SA	Vol.	100			
Order type	Sell	Trigger type	Matched full order volume			
Symbol	HCM	Expiration date	25/04/2025			
Trigger price	≥ 1,000	Original order status	Activated			
Activation order info Successful trigger order						
Sym...	Buy/Sell	Limit price	Vol.	Status	Date time ↓	Descriptions
HCM	Sell	35,000	100	Error Active	07/04/2025 08:30:32	[95059] - Giá nằm ngoài biên độ trần sàn. Giá vượt giá trần
HCM	Sell	35,000	100	Error Active	01/04/2025 09:19:37	[95059] - Giá nằm ngoài biên độ trần sàn. Giá vượt giá trần




❖ KB Buddy

Investors can view and track conditional orders during the day in **Order Book/Conditional Orders**.

- Orders can be filtered by criteria: **Order Type (Buy/Sell), Conditional Order Type, and Status**.
- Swipe left and select **"Cancel"** if the investor wants to cancel the original order (for orders that allow cancellation).

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- Click on each order line to view detailed information about the original order and triggered orders.



2:29




091C044738MA S6
Deposit




Normal Orders
Periodic Buying Order
Conditional Orders

Cancel

Cancel all orders

Symbol B/S	Orders Vol.	Order Price Trigger Price	Take profit price Stop loss	Status O. time
SEO 2,000	25.6 -	- -	Expired 25/04/202...	Cancel
TCB Buy	STO 2,000	25.85 25.8	- -	Expired 25/04/202...

2:30




Order Details

Original Order Information

Conditional order

Sub-account

Order type

Symbol

Trigger when limit price is

Limit price

Volume

Trigger type

Expiration date

Original order status

SEO

MA S6

Buy

TCB

Matching price

25.6

2,000

Appear once

25/04/2025



Expired

Triggered Order Information

Activation successful

Symbol B/S	O. Price Volume	Status Descriptions	Date O. time
TCB Buy	25.6 2,000	Activation err... [-90016]: Kb...	25/04/2025 10:48:16

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