



MCO CONDITIONAL ORDER INSTRUCTIONS

KB BUDDY WTS & KB BUDDY

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1. Definition

A **Multi-Conditional Order (MCO)** is a Buy/Sell order that remains valid for one or multiple days, with a predefined quantity and price. Specifically:

- **Quantity:** The quantity of securities set by the investor.
- **Order Price:** The limit price set by the investor.
- **Trigger Conditions:** Investors can select one or a combination of multiple conditions. These include the following:
 - Based on market indices: Includes VN-INDEX, VN30-INDEX, HNX-INDEX, HNX30-INDEX, and UPCOM-INDEX.
 - Based on total market matched volume: Includes VNI, VN30, HNX, HNX30, and UPCOM
 - Based on the matched volume of the stock code
 - Based on the matched price of the stock code
- **Trigger type:**
 - Occurs once: During the validity period, the order will only be activated once the trigger price condition is met.
 - Matched full order volume: During the validity period, the order will be activated if the condition is met, until the full quantity is matched
- **Expiration Date:** The order is valid from the day it is placed until the expiration date or until the full quantity is matched.

2. Purpose

A MCO order helps investors place orders based on one or multiple market conditions without having to spend much time monitoring the market.

3. Regulations on Cancelling/Amending MCO Orders

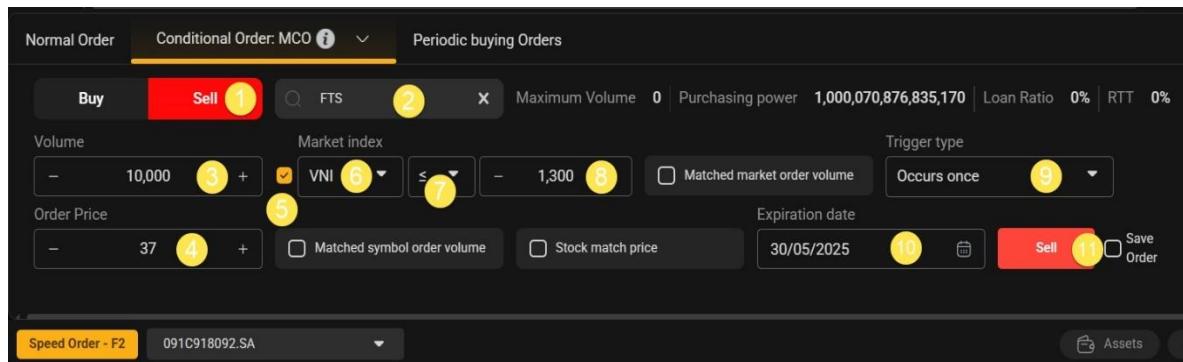
- It is not permitted to modify the original order or any sub-orders that have been generated. Investors may cancel the original order and place a new one if necessary.
- The original order can only be canceled when it is in Pending Activation or Activated Status. It cannot be canceled during the ATC session.
- When the original order is canceled, the system will also cancel any generated sub-orders if they have not yet been matched or only partially matched.
- Sub-orders of an MCO (Multi-Conditional Order) cannot be canceled individually.

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4. Examples

❖ **Example 1: Placing an MCO sell order for stocks when the VNIndex market index decreases (using one condition).**

On May 26, 2025, the VNIndex stood at 1,310 and showed a downward adjustment trend. The investor is concerned that if the VNIndex falls below 1,300 points, the market may decline sharply, and stocks in the securities sector, including FTS, are likely to follow the VNIndex downward. Given this outlook and the lack of time to continuously monitor the market, the investor uses an MCO to place a sell order to take profit on 10,000 FTS shares at a price of 37 when the VNIndex falls below 1,300. The investor can set up the MCO conditional order as follows:



- ✓ **(1) Select SELL**
- ✓ **(2) Symbol:** Enter **FTS**
- ✓ **(3) Quantity:** Enter the quantity **10,000**
- ✓ **(4) Order Price:** Enter the price **37**
- ✓ **(5) Activate based on market index:** Check the box for **Market Index**
- ✓ **(6) (7) (8) select VNI ≤ 1,300**
- ✓ **(9) Trigger type:** Assuming the investor wants the order to be triggered only once, they will select "**Occurs once**"
- ✓ **(10) Expiration Date:** Enter the desired expiration date as **May 30, 2025**
- ✓ **(11) Click SELL and enter the OTP verification code to complete the transaction.**

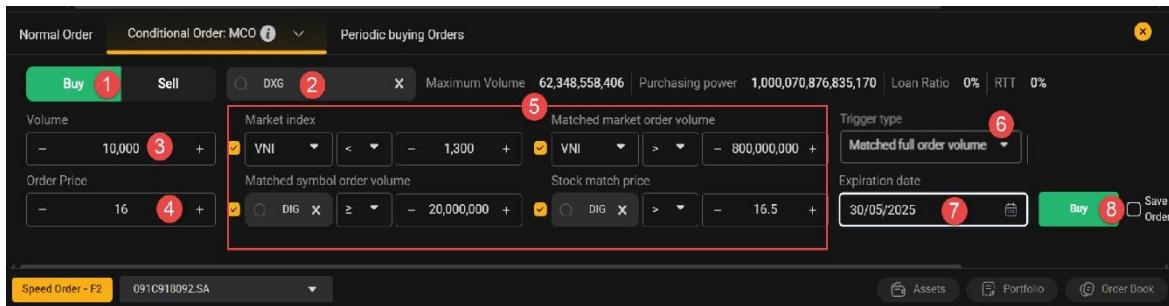
The system will trigger the order as follows

- In the case that during the trading session on May 26, 2025, the VNIndex drops to 1,299.5 points, the condition is met and the order is triggered. A sell order for 10,000 FTS at the price of 37 is activated and sent to the exchange as a sub-order. Assuming the order is fully matched (all 10,000 shares), the original conditional order is considered complete.
- In the case that during the trading session on May 26, 2025, the lowest VNIndex is 1,302 points, the condition is not met, and the order is not triggered. On May 27, 2025, the VNIndex drops to 1,300 points, the condition is met, and the order is triggered and sent to the exchange
- If, during all trading days from May 26, 2025, to May 30, 2025, the VNIndex does not fall below 1,300 at any point, the order will not be triggered and will expire at the end of the day on May 30, 2025

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❖ **Example 2: Placing an MCO to wait for a stock purchase (using multiple trigger conditions)**

On May 22, 2025, the matched price of DXG shares is 16. The investor observes that DXG shares tend to move in the same direction as DIG shares, but with a delay. Based on this analysis, the investor predicts that when the VNIndex rises above 1,300 points with a large market trading volume of at least 800,000,000 shares and DIG stock price surpasses 16.5 with a trading volume of 20,000,000 shares, a buy order for 10,000 DXG at the price of 16 should be placed. The investor can set up the MCO conditional order as follows:



- ✓ **(1)** Select **BUY**
- ✓ **(2) Stock code:** Enter **DXG**
- ✓ **(3) Quantity:** Enter **10,000**
- ✓ **(4) Order price:** Enter **16**
- ✓ **(5) Activation based on:**
 - **Market Index:** VNI > 1,300
 - **Matched market order volume:** VNI > 800,000,000
 - **Matched symbol order volume:** DIG \geq 20,000,000
 - **Stock match price:** DIG > 16.5
- ✓ **(6) Trigger type:** Assuming the investor wants the order to remain active until the full buy quantity is matched, they will select "**Matched full order volume**"
- ✓ **(7) Expiration date:** Enter the desired expiration date as **May 30, 2025**
- ✓ **(8)** Click **BUY** and enter the OTP verification code to complete the transaction.

The system will trigger the order as follows

- In the trading session on May 22, 2025, the market developments were as follows:

Time	VN Index	VNIndex matched volume (millions)	DIG matched trading volume (millions)	Matched price of DIG (x1,000đ)	Order activation status
9h45	1,290	200	1.5	16	Pending
10h00	1,301	300	4.5	16.2	Pending
10h15	1,298	350	8	16.6	Pending
10h35	1,305	400	14	16.7	Pending
10h50	1,309	700	21	16.4	Pending
11h00	1,310	800	23	16.6	Activated

(Green indicates the condition is met, white indicates the condition is not met)

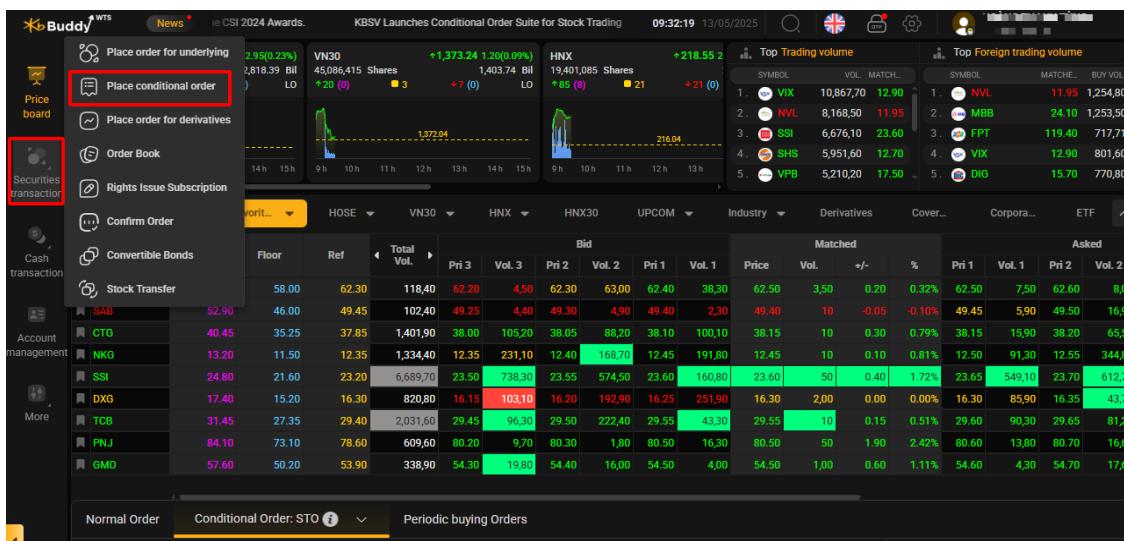
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- At 11:00 AM, when all conditions are met, the buy order for 10,000 DXG shares at the price of 16 will be triggered, the order status will be "**Activated**".
- In the trading sessions from May 22, 2025, to May 23, 2025, none of the four conditions were simultaneously met, so the order was not triggered and remained in the "**Pending**" status. On May 26, 2025, at 1:15 PM, all four conditions were simultaneously met, the order was triggered, and a sub-order was sent to the exchange with a quantity of 10,000 shares at a price of 16. Assuming the sub-order was matched for 3,000 shares. On subsequent trading days, if all four conditions of the MCO continue to be met simultaneously, the original conditional order will be triggered again for the remaining quantity of 7,000 shares at the price of 16, until the full quantity of 10,000 shares is matched or the order expires at the end of the day on May 30, 2025.

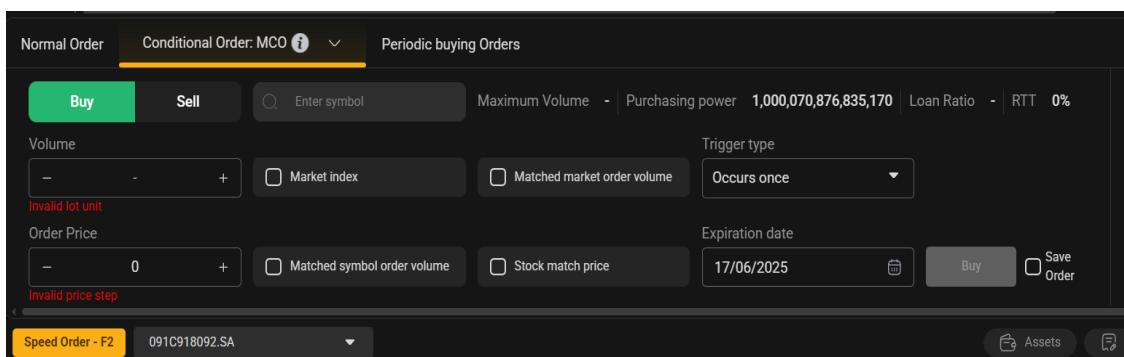
5. Steps

❖ KB Buddy WTS

- Step 1: Enter <https://kbbuddywts.kbsec.com.vn/>
- Step 2: Select **Securities transactions/ Place conditional order** or "**Speed Order – F2**"



- Step 3: Choose sub-account, select tab **Conditional order**, then select **MCO**



- Step 4: Enter details
 - o Select **Buy/Sell**
 - o Enter **Stock code**

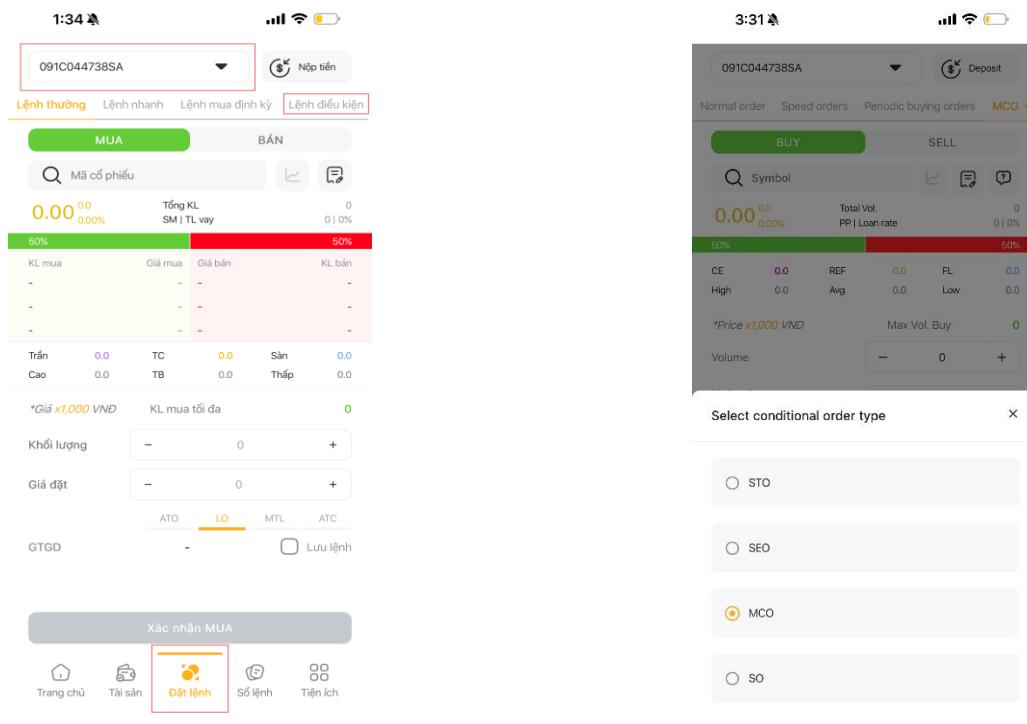
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- Enter **Quantity**
- Enter **Order price**
- Pick **Trigger conditions**:
 - Market Index
 - Matched market order volume
 - Matched symbol order volume
 - Stock match price
- Choose **Trigger type**
- Enter **Expiration date**
- Click **Buy/Sell**

- Step 5: On the **Order Confirmation** screen, enter the **OTP verification code** to complete the process.

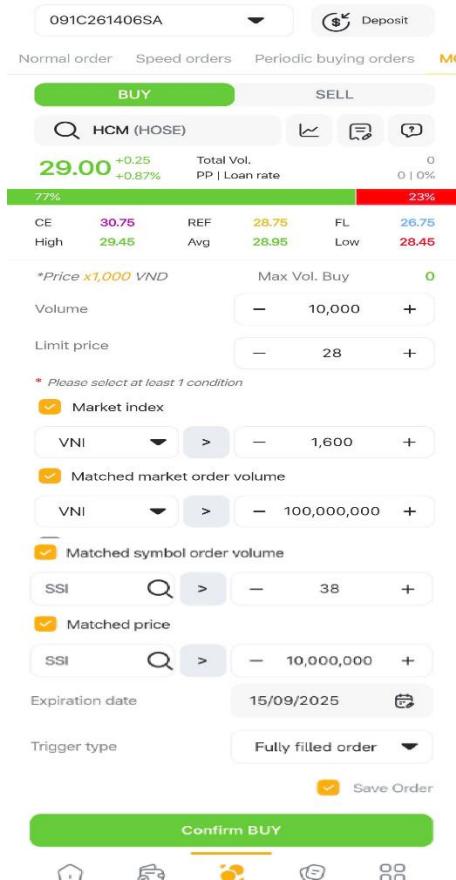
❖ KB Buddy

- Step 1: Log in to the application **KB Buddy**
- Step 2: Select **Trade**
- Step 3: Select tab **Conditional order**, then select **MCO**



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- Step 4: Enter details
 - o Select **Buy/Sell**
 - o Enter **Stock code**
 - o Enter **Quantity**
 - o Enter **Order price**
 - o Pick **Trigger conditions:**
 - Market Index
 - Matched market order volume
 - Matched symbol order volume
 - Stock match price
 - o Choose **Trigger type**
 - o Enter **Expiration date**
 - o Click **Buy/Sell**



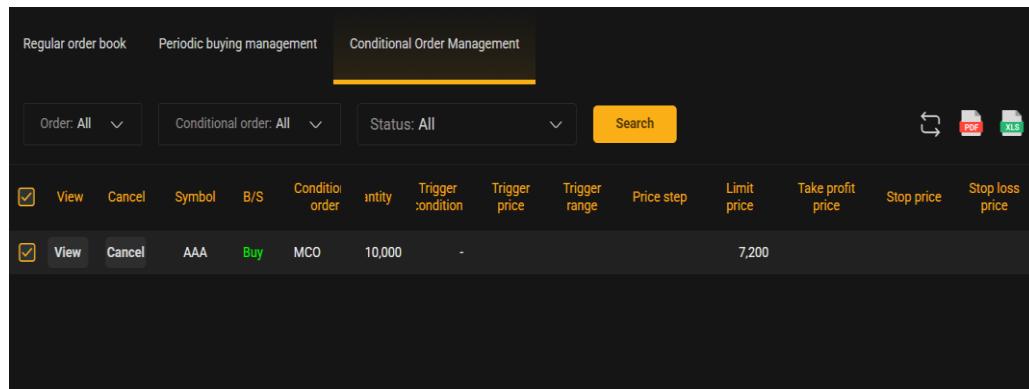
- Step 5: On the **Order Confirmation** screen, enter the **OTP verification code** to complete the process.

6. Managing MCO

❖ KB Buddy WTS

The investor can inquire and track MCO conditional orders on the **KB Buddy WTS** platform under **Securities transaction/Order Book/Conditional Order Management**.

- You can filter by the following criteria: Order (buy/sell), type of conditional order, and status



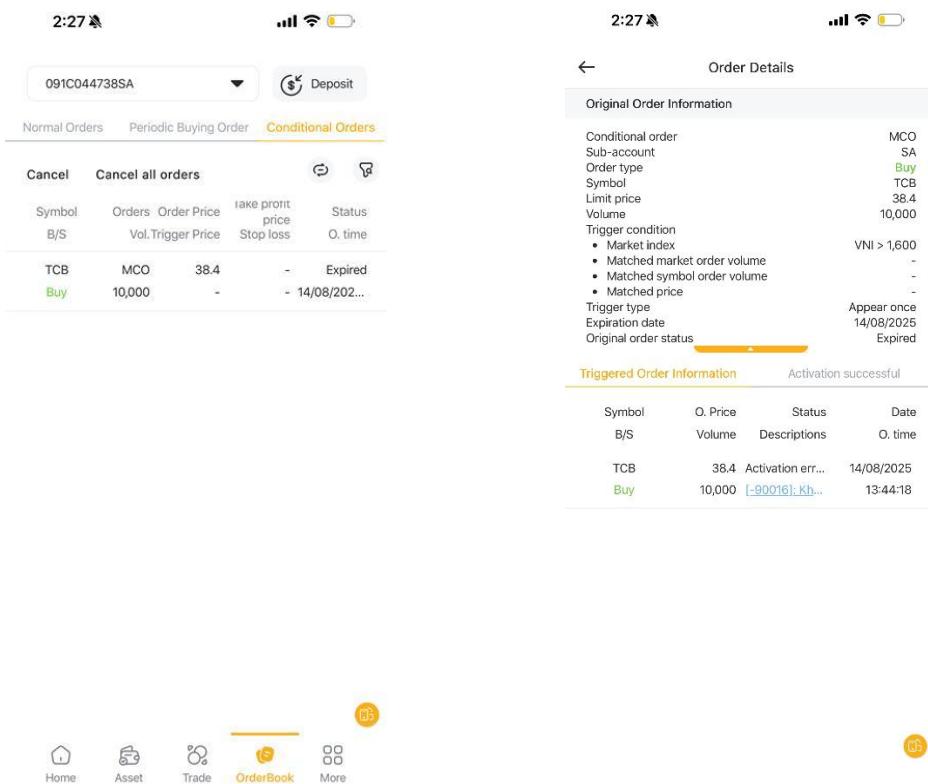
- Select "**Cancel**" if the customer wants to cancel the original order (for orders that can be cancelled).
- Select "**View**" to see the detailed information of the original order and the activated order.

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Details						
Original order info						
Conditional order	MCO					
Sub-account	SA					
Order type	Buy					
Symbol	AAA					
Limit price (x1000)	7.2					
Vol.	10,000					
Trigger type	Occurs once					
Activation Condition						
• Market index	VNI > 1,300					
• Market matched volume	-					
• Matched volume	-					
• Stock match price	-					
Expiration date	19/06/2025					
Original order status	Activated					
Activation order info						
Successful trigger order						
Sym...	Buy/Sell	Limit price	Vol.	Status	Date time	Descriptions
AAA	Buy	7,200	10,000	Activated	17/06/2025-13:53:13	-

❖ KB Buddy

- The investor can inquire and track MCO conditional orders on the **KB Buddy** platform under **Order Book/Conditional Order**.
- You can filter by the following criteria: Order (buy/sell), type of conditional order, and status
- Swipe left and select "**Cancel**" if the investor wants to cancel the original order (for orders that can be cancelled).
- Tap into the selected order to see the detailed information of the original order and the activated order.



The screenshots show the KB Buddy mobile application interface. The top part shows the Order Book screen with a list of orders. The bottom part shows the Order Details screen for a specific order, including the original order information and triggered order information.

Order Book Screen (Left):

- Header: 2:27, Signal, Battery
- Search: 091C044738SA
- Buttons: Deposit, Cancel, Cancel all orders
- Table Headers: Symbol, B/S, Orders, Order Price, Take profit price, Status
- Table Data: TCB, Buy, MCO, 38.4, -, Expired

Order Details Screen (Right):

- Header: Order Details, Back
- Section: Original Order Information
- Table Headers: Conditional order, Sub-account, Order type, Symbol, Limit price, Volume, Trigger condition, Trigger type, Expiration date, Original order status
- Table Data: MCO, SA, Buy, TCB, 38.4, 10,000, Market index, Matched market order volume, Matched symbol order volume, Matched price, VNI > 1,600, Appear once, 14/08/2025, Expired
- Section: Triggered Order Information
- Table Headers: Symbol, B/S, O. Price, Status, Date
- Table Data: TCB, Buy, 38.4, Activation err..., 14/08/2025

Bottom navigation bar: Home, Asset, Trade, OrderBook (highlighted), More

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