



MANUAL GUIDE
DERIVATIVE TRADING – KB APP

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1. Introduction

1.1. Objectives

This manual describes all functions of mobile trading for customers

1.2. Concepts, jargons, abbreviations

Concepts, jargons, abbreviations	Explanations
Securities companies	KBSV
CCP	Central Counterparty Clearing House
Securities	Securities
Value	Value
Trading	Transaction
Customers	Customers
Futures contract	Futures contract
Trading account	Trading account
Securities margin account	Securities margin account

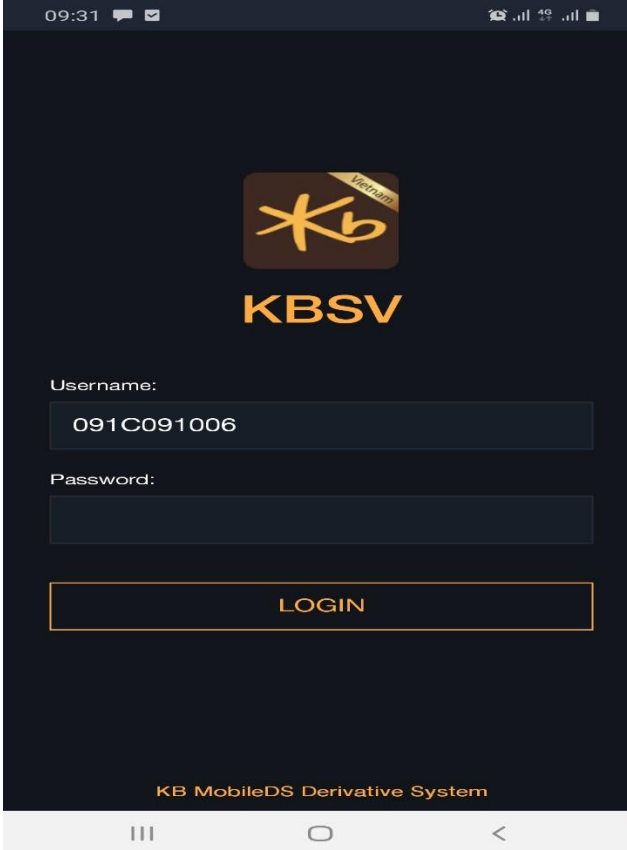
2. Overview

2.1. Mobile (iOS + Android)

2.1.1. Log in

Open registration screen

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09:31 4G

KBSV

Username:
091C091006

Password:
[Redacted]

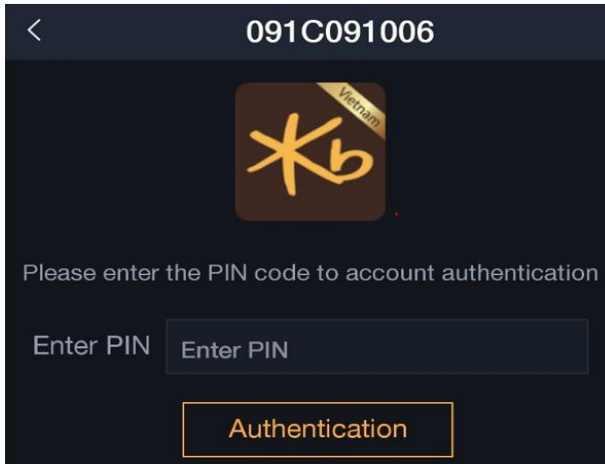
LOGIN

KB MobileDS Derivative System

Data input

- Customer ID: Securities margin account
- Log in password: Customers' passwords
- After entering Customer ID & password, press "Log in" button

Screen shows confirmation of PIN/OTP



< 091C091006

KBSV

Please enter the PIN code to account authentication

Enter PIN [Enter PIN]

Authentication

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Enter the correct PIN code. Press **Authentication** to complete

2.1.2. Main User Interface

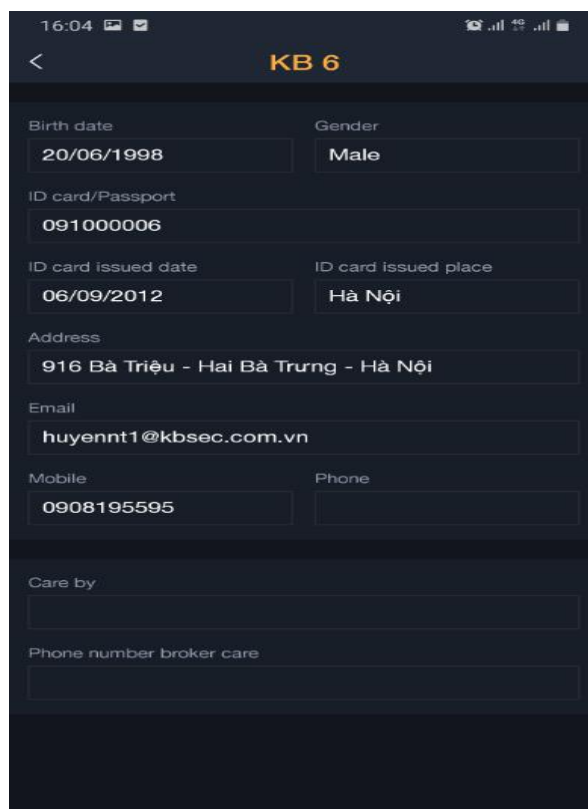
- After successfully logged in, User Interface shows the following main content:



User Interface has 5 main categories

- Account information: include: Customers information, function to change sub-account, option of choosing English, Vietnamese, introduction, terms and to log off

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16:04 KB 6

Birth date: 20/06/1998 Gender: Male

ID card/Passport: 091000006

ID card issued date: 06/09/2012 ID card issued place: Hà Nội

Address: 916 Bà Triệu - Hai Bà Trưng - Hà Nội

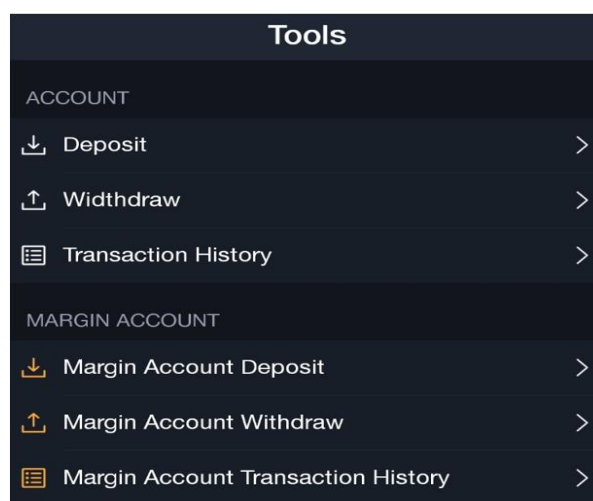
Email: huyennt1@kbsec.com.vn

Mobile: 0908195595 Phone:

Care by:

Phone number broker care:

- Utilities: Perform deposit and withdrawal functions into and out of derivative trading account (Deposits from primary to derivative/Withdrawals from derivatives to primary); Function of deposit/withdrawal into/from securities margin account (CCP) (Deposit cash into deposit account from derivative trading account, withdrawal from deposit account to derivative trading account).



Tools

ACCOUNT







- ↓ Deposit >
- ↑ Withdraw >
- ☰ Transaction History >

MARGIN ACCOUNT

- ↓ Margin Account Deposit >
- ↑ Margin Account Withdraw >
- ☰ Margin Account Transaction History >

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- Fun: Look up account information (cash, obligations), open position, close position

Funds	
Account	Open positions
Eligible collateral amount	3,616,713,843
-Cash on hand:	21,533,818,364
-Pending tranfer cash:	10,296,407
-Cash at CPP:	3,505,692,093
-Pending withdraw cash:	0
-Non cash amount:	111,021,750 
-Minimum cash:	80%
Required margin amount:	576,888,000
-Margin amount:	576,888,000
-Variation margin amount:	155,620,000
-Due margin amount:	0
Account ratio	15.95%
Basic long capacity	2,316,483,074
Basic short capacity	2,316,483,074
Total fee	4,066,245
-Accrued position fee:	0
-Due position fee:	0
-Trading fee:	642,000
-Income tax:	1,733,911
 Trade	 Markets
 Fund	 Tools
 Account	

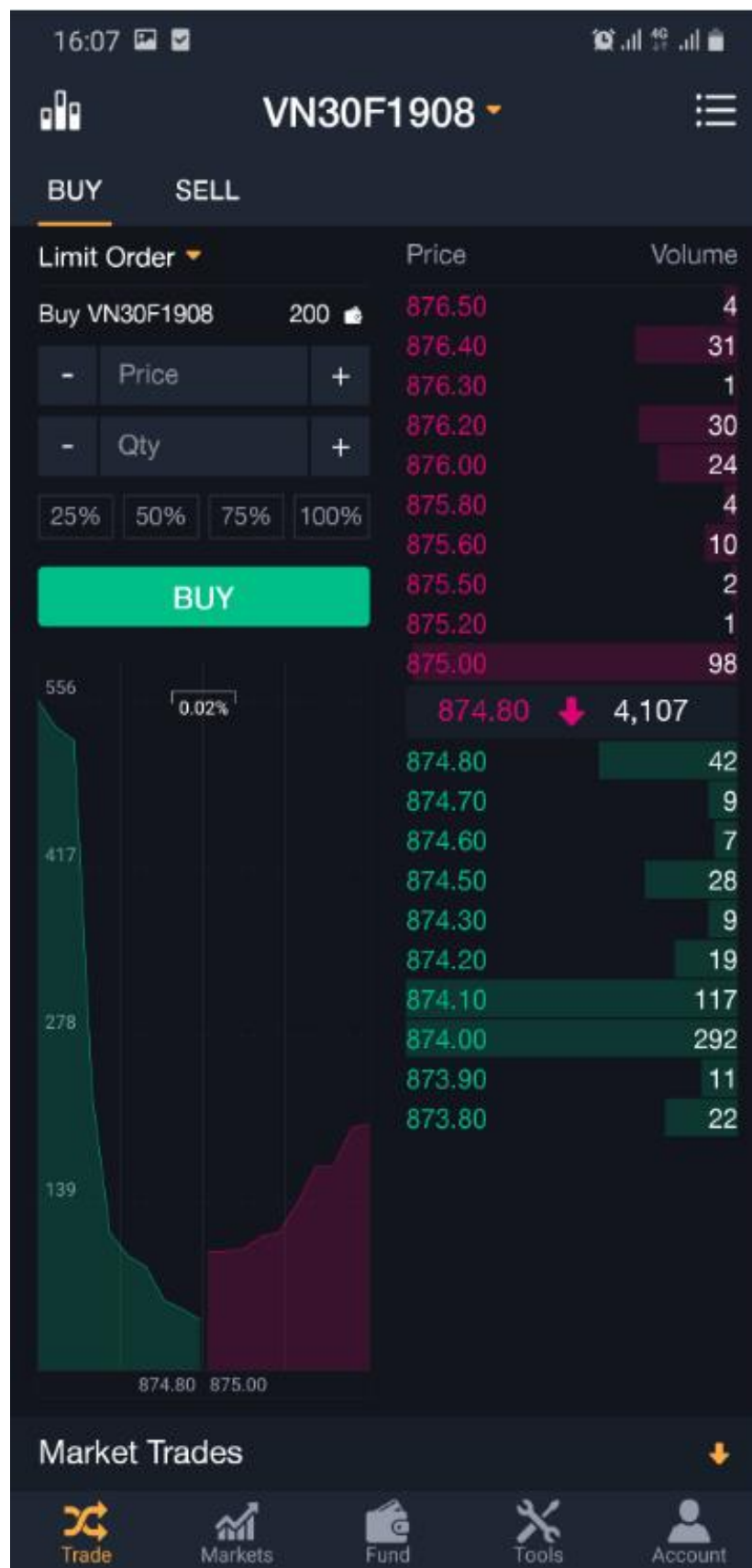
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- Markets: To look up information on symbols that are being traded in the market (price, volumes waiting to be matched, changes and % price change). Can be used to search for symbols, add/delete symbols into/out of favourite list.

Markets		
Favorites		All
Symbol	Price	Change
GB05F1903	-	-
OI: -	Vol: -	-
GB05F1904	-	-
OI: -	Vol: -	-
VN30F1907	-	-
OI: -	Vol: -	-
VN30F1908	874.80	-5.70
OI: 17,636	Vol: 1	-0.65%

- Transaction: shows the top 10 best buy/sell prices, matched history, depth graph & order area (Limit order, market order, conditional order)

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2.2. Details of functionalities/utilities

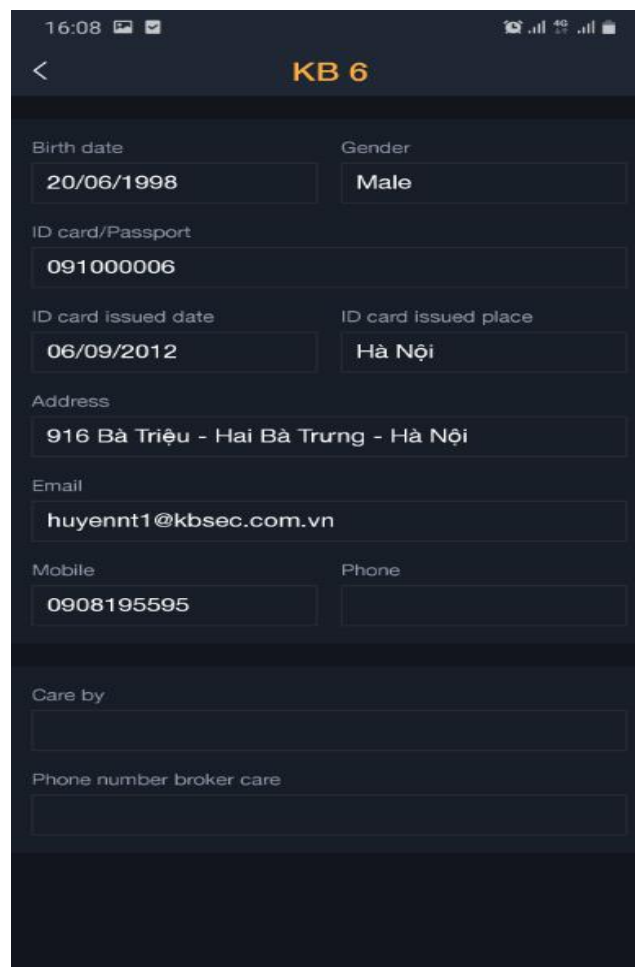
2.2.1. Account

Account tab includes the following information

- My detail
- Change Sub Account
- Languages
- About Us
- Term of Use
- Sign Out

2.2.1.1. My Detail

Click  My details to show customer details interface:



16:08 KB 6

Birth date: 20/06/1998 Gender: Male

ID card/Passport: 091000006

ID card issued date: 06/09/2012 ID card issued place: Hà Nội

Address: 916 Bà Triệu - Hai Bà Trưng - Hà Nội

Email: huyennt1@kbsec.com.vn

Mobile: 0908195595 Phone:


Care by:

Phone number broker care:

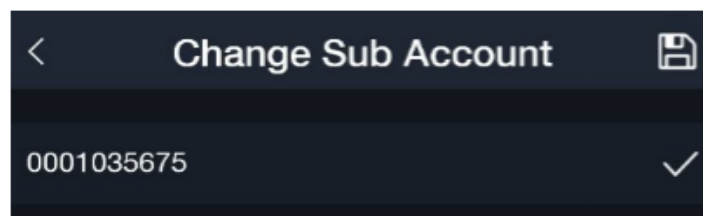
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- Birth Date: Birthday of Customer
- Gender: Gender of customers
- ID card/Passport: Identification number/customers' passport
- ID card issue date: Date of issued ID
- ID card issue place: ID's place of issue
- Addresss: Customer's address
- Email: Customer's email address
- Mobile: Customer's mobile details
- Phone: Customer's telephone number
- Cared by: Responsible brokers
- Phone number broker care: Mobile of broker who is taken care of customer

2.2.1.2. Change Sub Account

Click  Change Sub Account

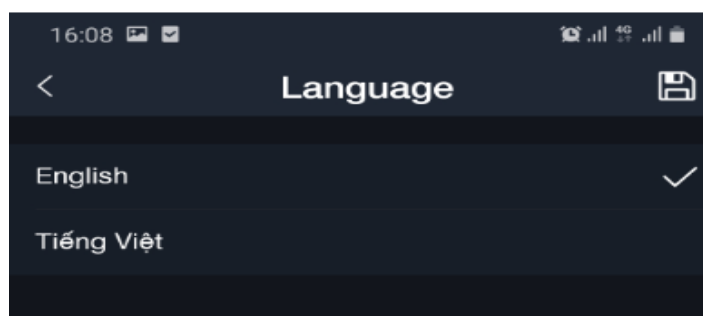
Shows UI that allows for change of sub-account into another one.



2.2.1.3. Languages

Click  Language

Shows UI that allows for changes of languages




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2.2.1.4. About Us

Click  About Us


To be forwarded to website to introduce derivative products.

2.2.1.5. Term of Use

Click  Term of Use

To be forwarded to website for term of use of derivative.

2.2.1.6. Sign out

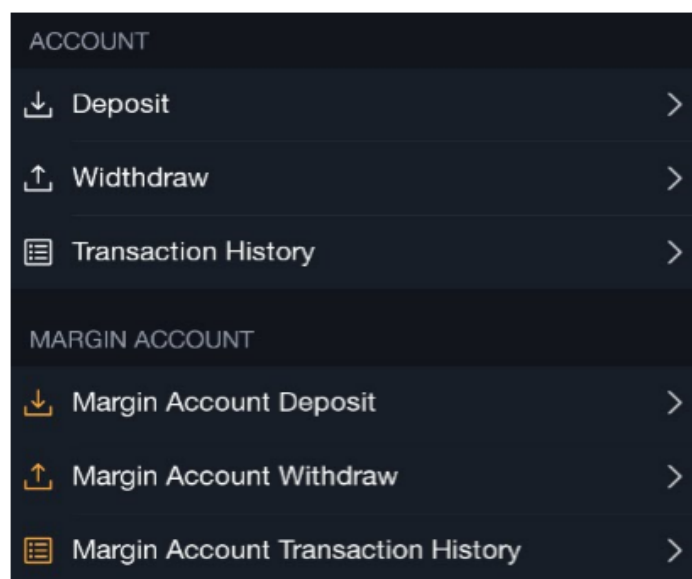
Click  Sign Out

To log off the system.

2.2.2. Utilities

Utilities tab includes the following functions:

- Deposit cash into derivative trading account
- Withdrawal of cash from derivative trading account
- Transaction history
- Margin Account Deposit
- Margin Account Withdraw
- Margin Account Transaction History

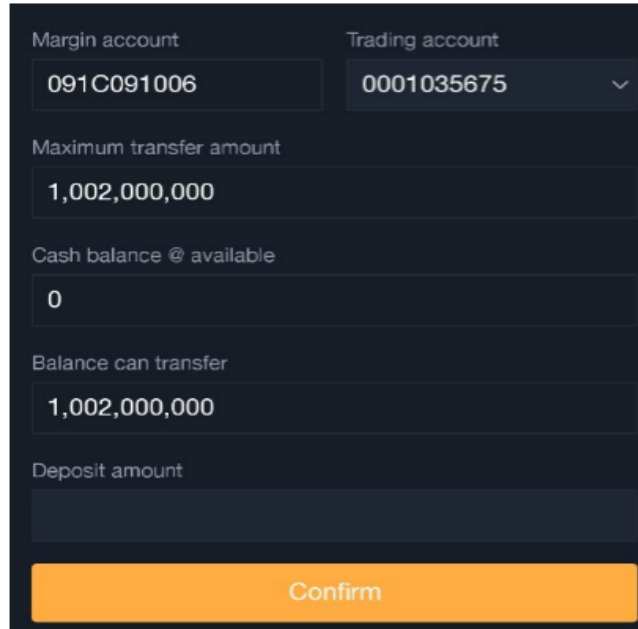


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2.2.2.1. Deposit cash into derivative trading account

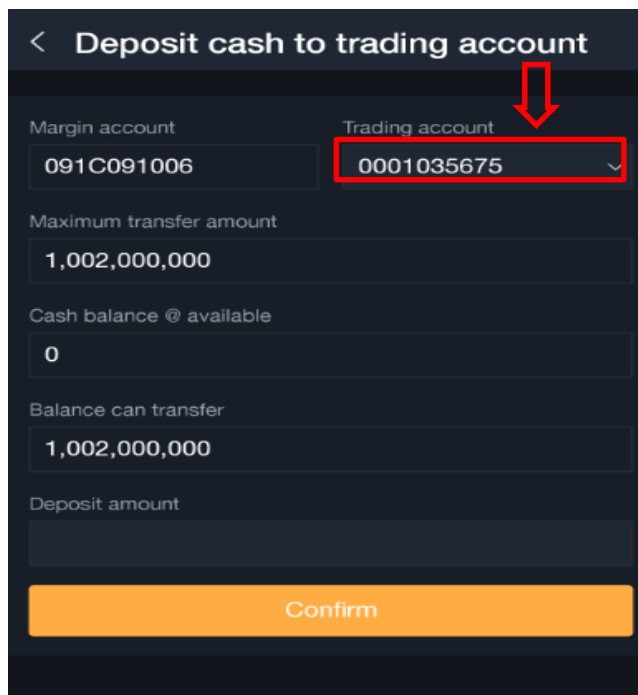
Click  Deposit

To show UI for deposit into derivative trading account

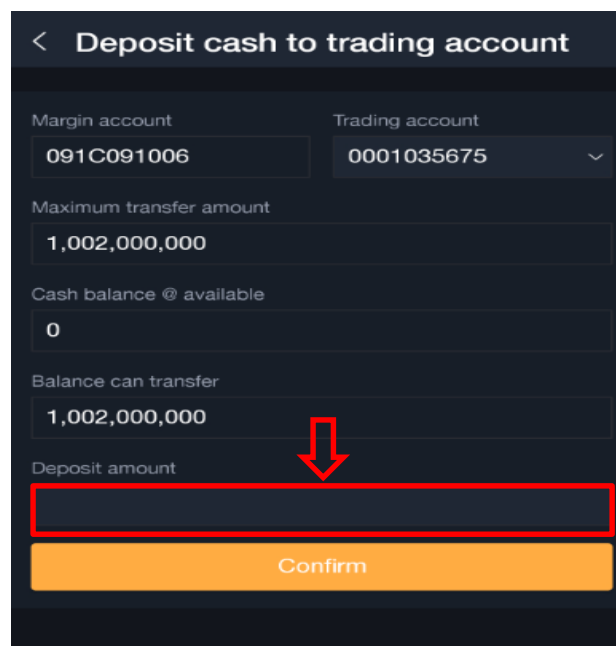


Description: Customers transfer cash from normal trading account/stock account into derivative trading account for other purposes like: collateral deposit, VM settlement, settle trading fees

Step 1. Choose trading account needs to be deposited into from combobox



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Step 2: Enter deposit amount


Note: The amount of cash deposited must be smaller than or equal to the amount being withdrawn from the Stock Account.

Step 3

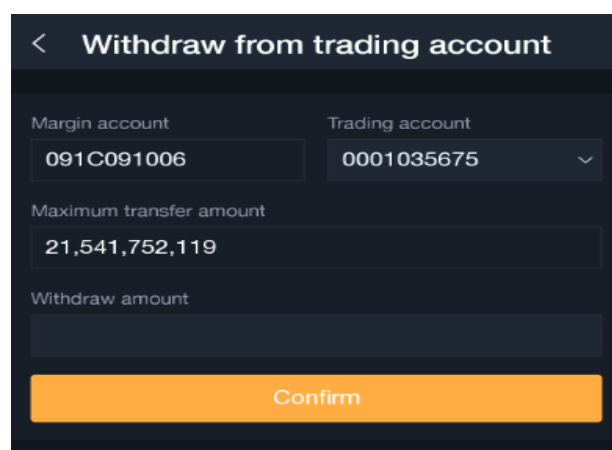
- Click button **Confirm** to deposit => Shows notice "Deposit to trading account successfully"

Deposit to trading account successfully

2.2.2.2. Withdraw from derivative trading account

Click  Withdraw

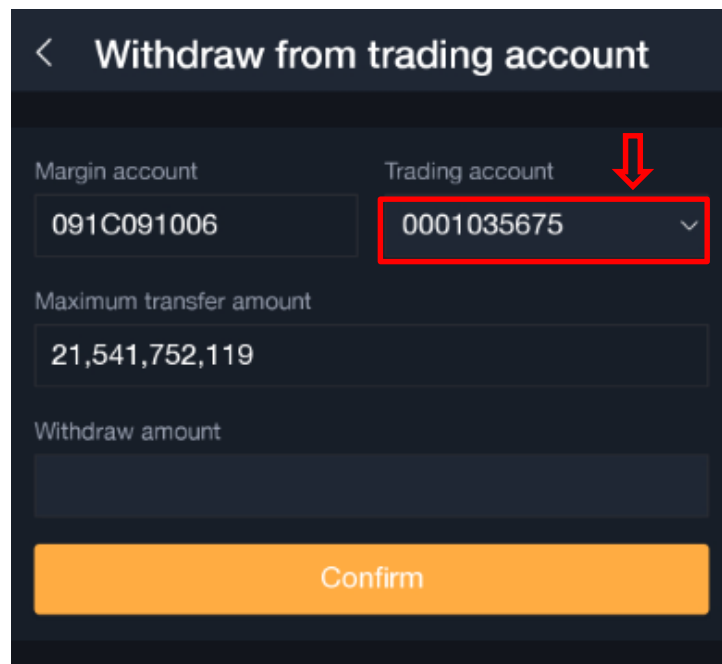
To show UI of withdrawing cash from derivative account:



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Description: In the situation where customers want to withdraw cash from FDS core into The Stock Account, use transaction "Withdraw from trading account"

Step 1: Choose trading account to withdraw cash from combobox



< Withdraw from trading account

Margin account 091C091006

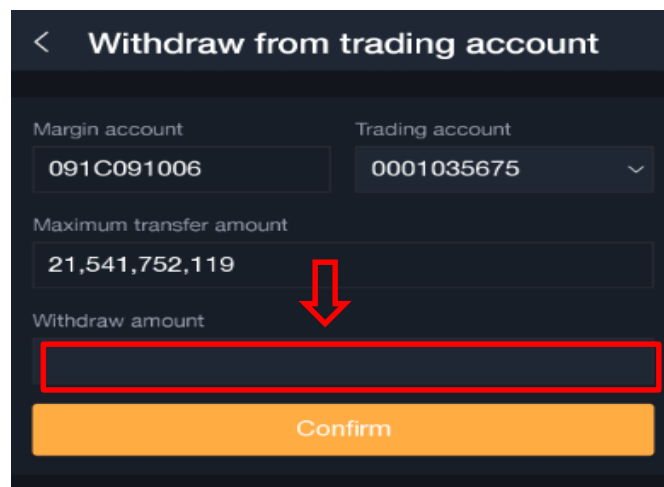
Trading account 0001035675

Maximum transfer amount 21,541,752,119

Withdraw amount

Confirm

Step 2: Enter withdrawal amount



< Withdraw from trading account

Margin account 091C091006

Trading account 0001035675


Maximum transfer amount 21,541,752,119

Withdraw amount

Confirm

Notice: The withdrawal amount needs to be smaller or equal to the maximum allowable withdrawal limit.

Step 3:

Click button  to confirm withdrawal. => Shows notice "Withdraw from trading account successfully!"

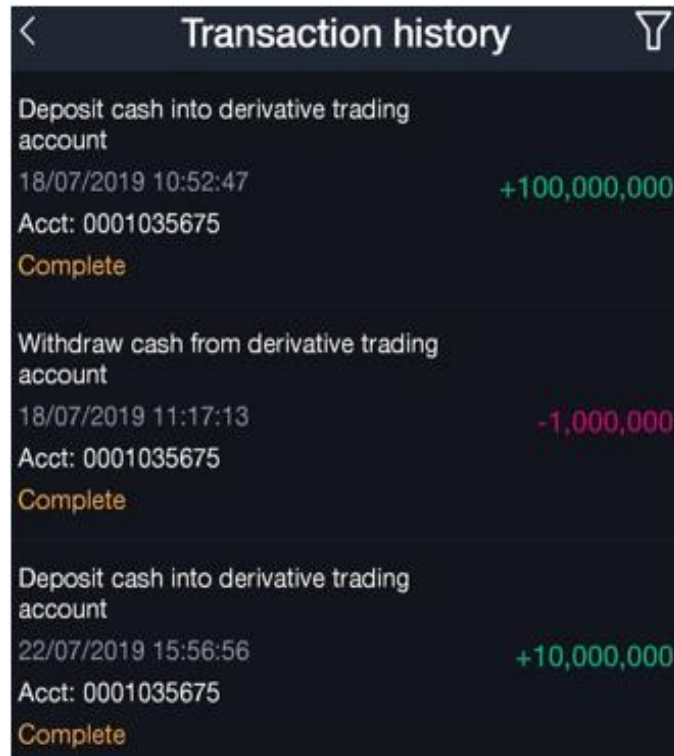
Withdraw from trading account
successfully

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2.2.2.3. History of derivative trading account

Click  Transaction History

To show UI of withdrawal history from derivative trading account:



2.2.2.4. Deposit cash collateral

Click  Margin Account Deposit

To show UI Deposit cash Collateral

Description: Customer requested for collateral deposit/maintenance as cash into Margin account managed by CCP

Step 1. Choose trading account to deposit cash into from combobox

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<

Deposit cash collateral

Margin account

Trading account

091C091006

0001035675

Full name

KB 6

ID card/Passport

091000006

ID card issued date

ID card issued place

06/09/2012

Hà Nội

Available balance

21,540,752,119

Deposit amount

Fee type

Internal fee

Confirm

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Step 2: Enter deposit amount

< Deposit cash collateral

Margin account 091C091006 Trading account 0001035675

Full name KB 6

ID card/Passport 091000006

ID card issued date 06/09/2012 ID card issued place Hà Nội

Available balance 21,540,752,119

Deposit amount

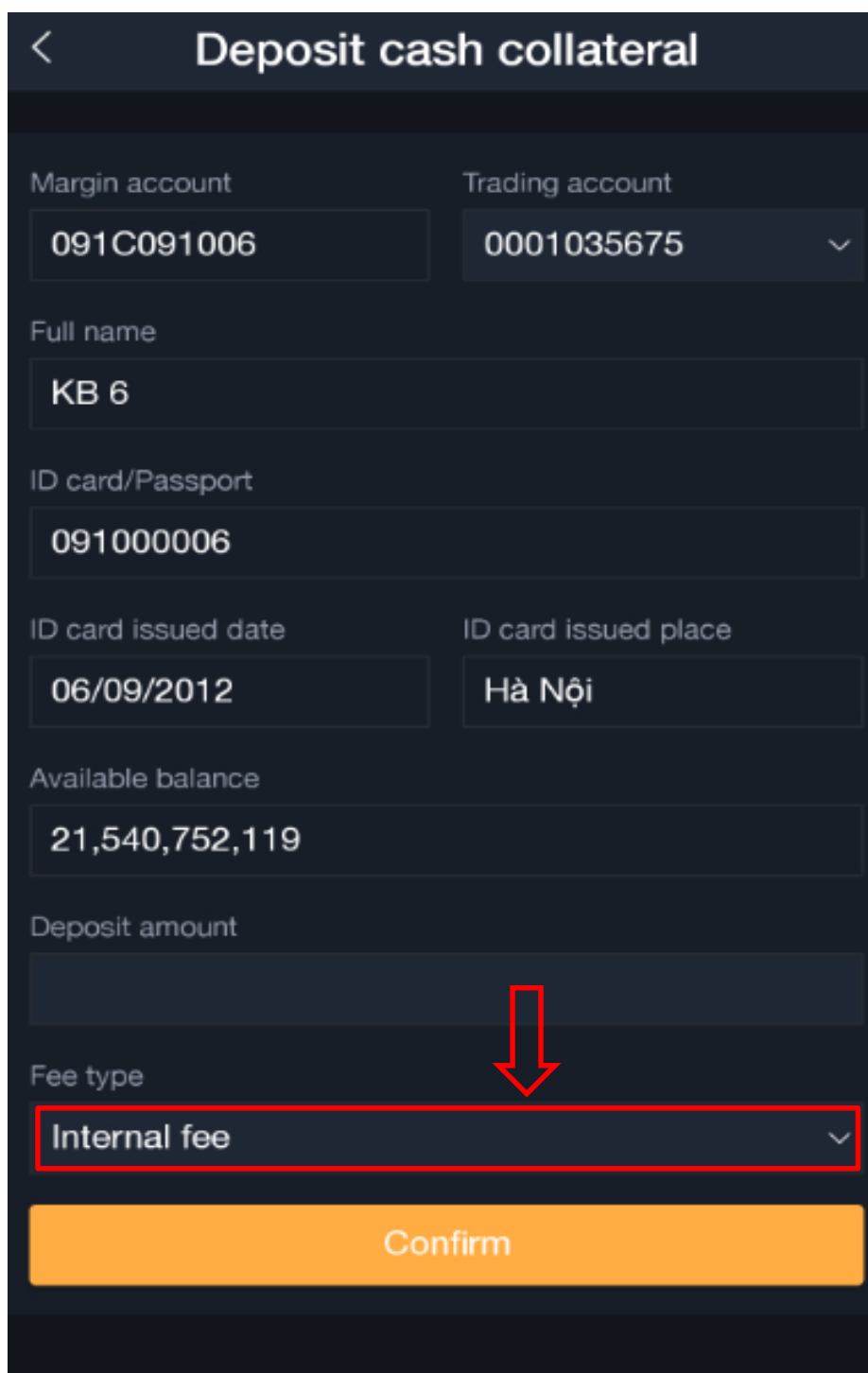
Fee type Internal fee

Confirm

Notice: Deposit amount needs to be smaller or equal to the disposable amount

Step 3: Choose fees type: "Internal fee" or "External fee"

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< Deposit cash collateral

Margin account 091C091006 Trading account 0001035675

Full name KB 6

ID card/Passport 091000006

ID card issued date 06/09/2012 ID card issued place Hà Nội

Available balance 21,540,752,119

Deposit amount

Fee type Internal fee

Confirm

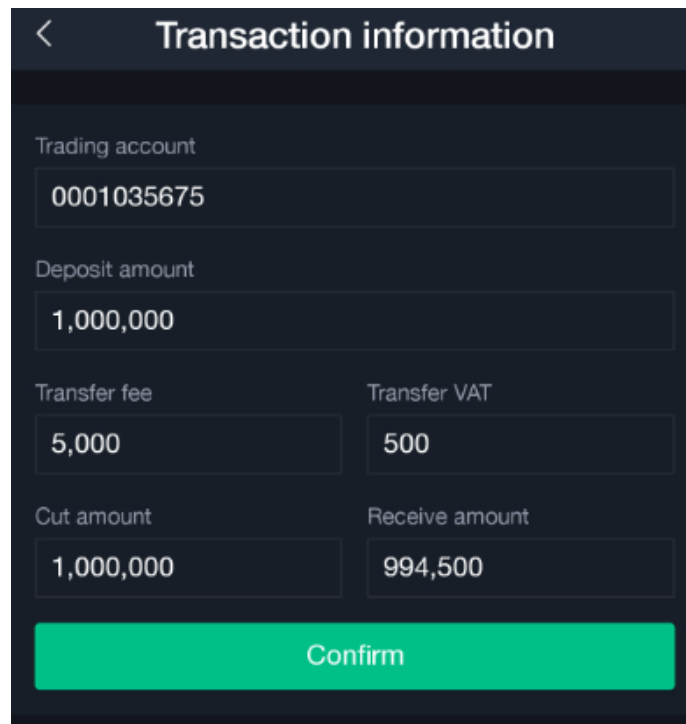
Internal fee: Actual received amount from customers = Transfer amount – transfer fes – taxes (if applicable)

External fee: Actual received amount from customers = Transfer amount (Transfer fees and tax (if applicable) will be calculated outside of the received amount).

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Step 4:

Click button **Confirm** to deposit cash. => shows UI that confirms deposit information



The image shows a mobile app screen titled "Transaction information". It contains several input fields for deposit details:

Transaction information	
Trading account	
0001035675	
Deposit amount	
1,000,000	
Transfer fee	Transfer VAT
5,000	500
Cut amount	Receive amount
1,000,000	994,500
Confirm	

Step 5:

Press button **Confirm** to deposit => Shows notice "Deposit cash to margin account successfully"

Deposit cash to margin account
successfully

2.2.2.5. Withdraw cash Collateral

Click 

To show UI of withdrawals from margin account:

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<

Withdraw cash collateral

Margin account

Trading account

091C091006

0001035675

Full name

KB 6

ID card/Passport

091000006

ID card issued date

ID card issued place

06/09/2012

Hà Nội

Maximum withdrawal amount

2,895,198,343

Withdraw amount

Fee type

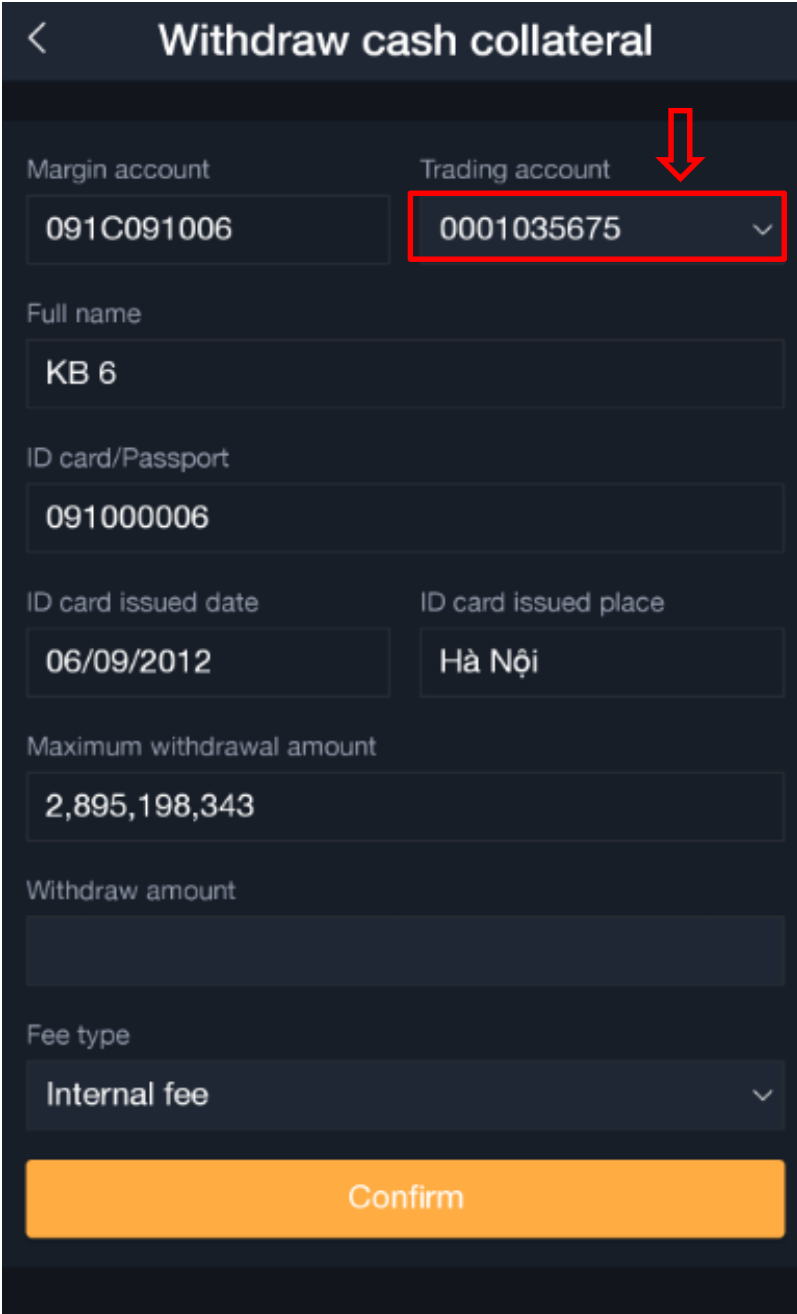
Internal fee

Confirm

Description: Customers withdrawing cash from margin account managed by CCP to derivative trading account managed by Securities Company.

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Step 1: Choose trading account to be deposited into from combobox



< Withdraw cash collateral

Margin account 091C091006 Trading account 0001035675

Full name KB 6

ID card/Passport 091000006

ID card issued date 06/09/2012 ID card issued place Hà Nội

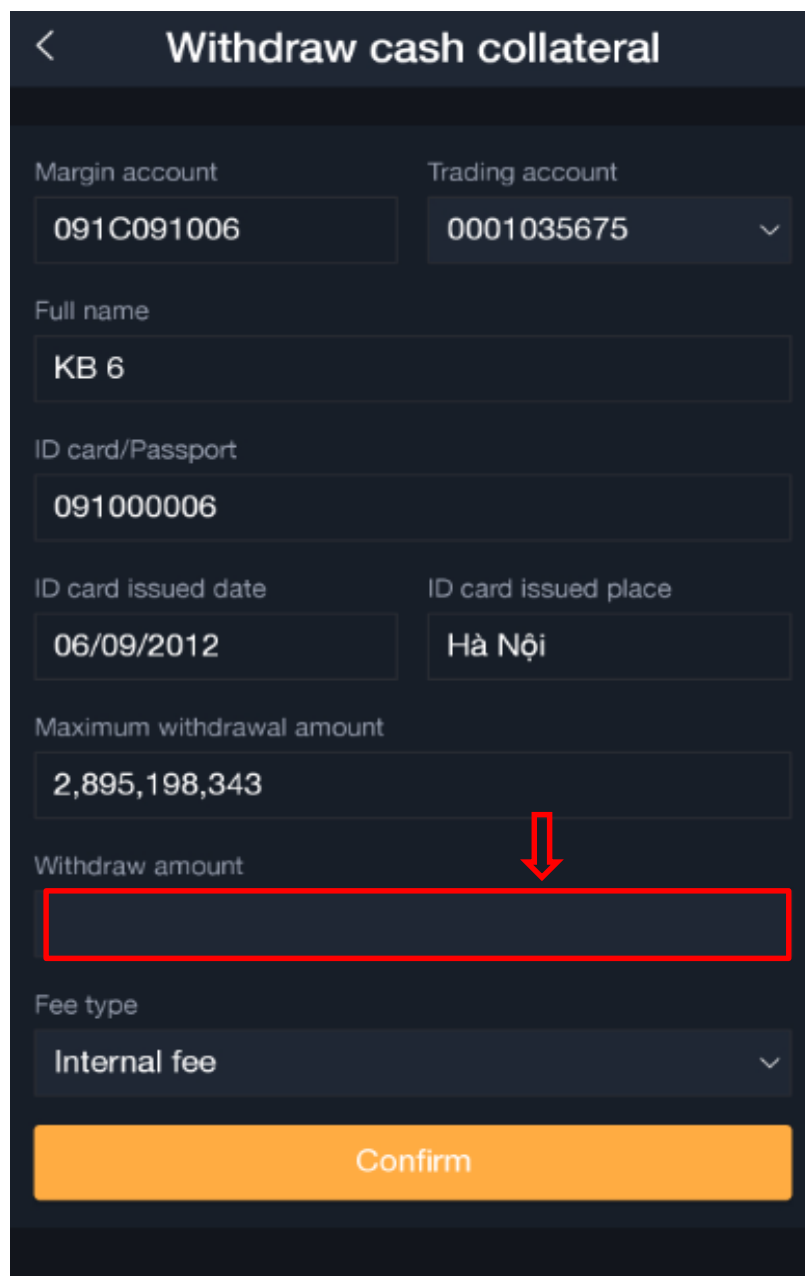
Maximum withdrawal amount 2,895,198,343

Withdraw amount

Fee type Internal fee

Confirm

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Step 2: Enter withdraw amount

Withdraw cash collateral

Margin account: 091C091006 Trading account: 0001035675

Full name: KB 6

ID card/Passport: 091000006

ID card issued date: 06/09/2012 ID card issued place: Hà Nội

Maximum withdrawal amount: 2,895,198,343

Withdraw amount:

Fee type: Internal fee

Confirm

Notice: Withdrawal amount needs to be smaller or equal to the maximum allowable limit

Step 3

Click button **Confirm** to withdraw cash. => Shows UI confirming withdrawal information.

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Transaction information

Trading account
0001035675

Withdraw amount
1,000,000

Transfer fee
5,000

Transfer VAT
500

Cut amount
1,000,000

Receive amount
994,500

Confirm

Step 4:

Press button **Confirm** to withdraw cash => Shows notice "Withdrawal cash from margin account successfully"

Withdraw cash from margin account successfully

2.2.2.6. Margin account transaction history

Click **Margin Account Transaction History**

To show UI of withdrawal history from margin account:

Transaction history		
Deposit cash collateral (CCP)	16/07/2019 08:18:55	+5,000,000
Acct: 0001035675		
Complete		
Deposit cash collateral (CCP)	16/07/2019 09:01:12	+5,000,000
Acct: 0001035675		
Complete		
Deposit cash collateral (CCP)	17/07/2019 10:48:45	+6,000,000
Acct: 0001035675		
Complete		
Deposit cash collateral (CCP)	18/07/2019 11:02:09	+296,407
Acct: 0001035675		
Complete		

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2.2.3. Funds

Funds tab includes the following information

- Account
- Open positions
- Close positions

2.2.3.1. Account

Choose tab Account. Shows the following accounts information

Funds		
Account	Open positions	Close positions
Eligible collateral amount		
		3,616,713,843
-Cash on hand:		21,533,818,364
-Pending tranfer cash:		10,296,407
-Cash at CPP:		3,505,692,093
-Pending withdraw cash:		0
-Non cash amount:		111,021,750 
-Minimum cash:		80%
Required margin amount:		
		576,888,000
-Margin amount:		576,888,000
-Variation margin amount:		155,620,000
-Due margin amount:		0
Account ratio		
		15.95%
Basic long capacity		
		2,316,483,074
Basic short capacity		
		2,316,483,074
Total fee		
		4,066,245
-Accrued position fee:		0
-Due position fee:		0
-Trading fee:		642,000
-Income tax:		1,733,911
 Trade	 Markets	 Fund
	 Tools	 Account

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Field name	Meaning
Account	
Eligible collateral amount	Eligible collateral amount managed by CCP
Cash on hand	Cash managed by Securities company
Pending transfer cash	Pending transfer cash as collateral to CCP
Cash at CCP	Cash collateral managed by CCP
Pending withdraw cash	Cash collateral in pending withdraw from CCP back to securities company
Non cash amount	Value of securities collateral
Minimum cash	Cash ratio in legitimate collateral deposit
Required margin amount	Includes: Obligation of Initial margin + obligation of Variation Margin (VM) + Delivery Margin (DM)
Margin mount	Initial Margin obligations when there is an opening position in account
Variation margin amount	Shows of profit/loss during day of account
Due margin amount	Shows delivery margin (when there is tangible deliverables)
Account ratio	Actual ratio of account
Basic long capacity	Shows basic long capacity
Basic short capacity	Shows basic short capacity
Total fee	Total fees

Field name	Meaning
Accrued position fee	Shows accrued position fees
Due position fee	Shows positions coming to expiry
Trading fee	Shows trading fees during trading day
Income tax	Shows income tax
Total loan	Total principal and interest
Overdrat	Shows principal value
Overdraft interest	Shows interest value

2.2.3.2. Open position

Choose tab open position. Shows information on open position.

Funds		
Account	Open positions	Close positions
VN30F1907	Quantity: -38	
VWap: 985.13	Market Price: 957.00	BUY
VM: 106,903,162	IM: 472,758,000	
VN30F1908	Quantity: 9	
VWap: 896.10	Market Price: 890.00	SELL
VM: -5,490,000	IM: 104,130,000	

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Open position	
Symbol	Information on derivatives of account
Price	
Variation margin	
Quantity	Information on the fund of position
Market price	
Initial Margin	
Buy/Sell	Shows Buy button when account has long position Shows Sell button when account has short position

2.2.3.3. Close position

Choose tab close position. Shows information on close position

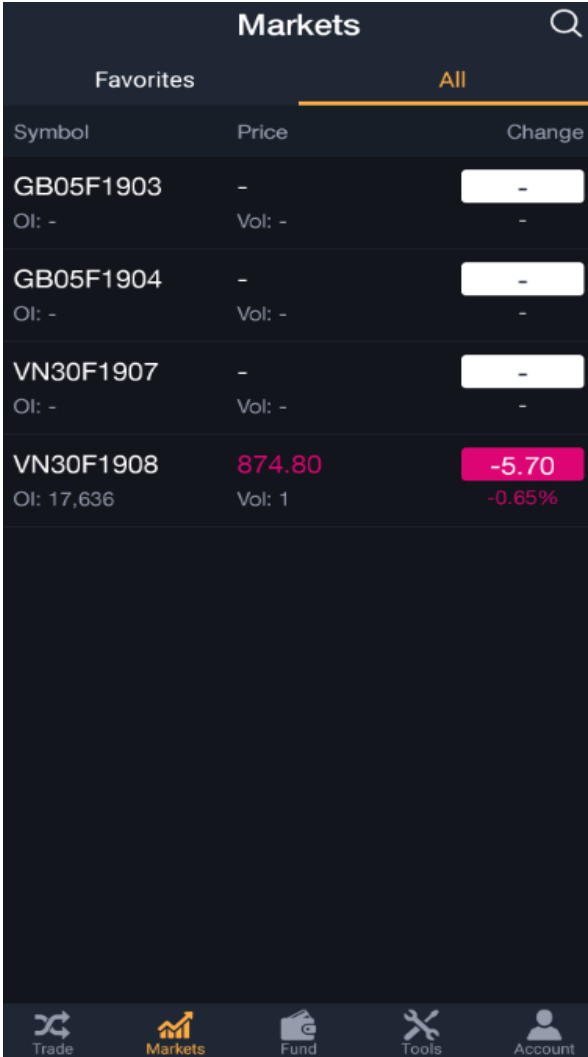
Funds		
Account	Open positions	Close positions
VN30F1907 Quantity: 1	Long Price: 990.10 Short Price: 977.20	VM: -1,290,000
VN30F1907 Quantity: 1	Long Price: 990.10 Short Price: 977.20	VM: -1,290,000
VN30F1907 Quantity: 1	Long Price: 990.10 Short Price: 977.20	VM: -1,290,000
VN30F1907 Quantity: 1	Long Price: 980.00 Short Price: 996.20	VM: 1,620,000
VN30F1907 Quantity: 1	Long Price: 980.00 Short Price: 995.53	VM: 1,552,500

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<i>Close position</i>	
Symbols Quantity	Symbol information and closing quantity
Long price Short price	Long price, short price close position
Variation Margin	Position Profit/Loss

2.2.4. Market

2.2.4.1. Information on underlying symbol securities being traded



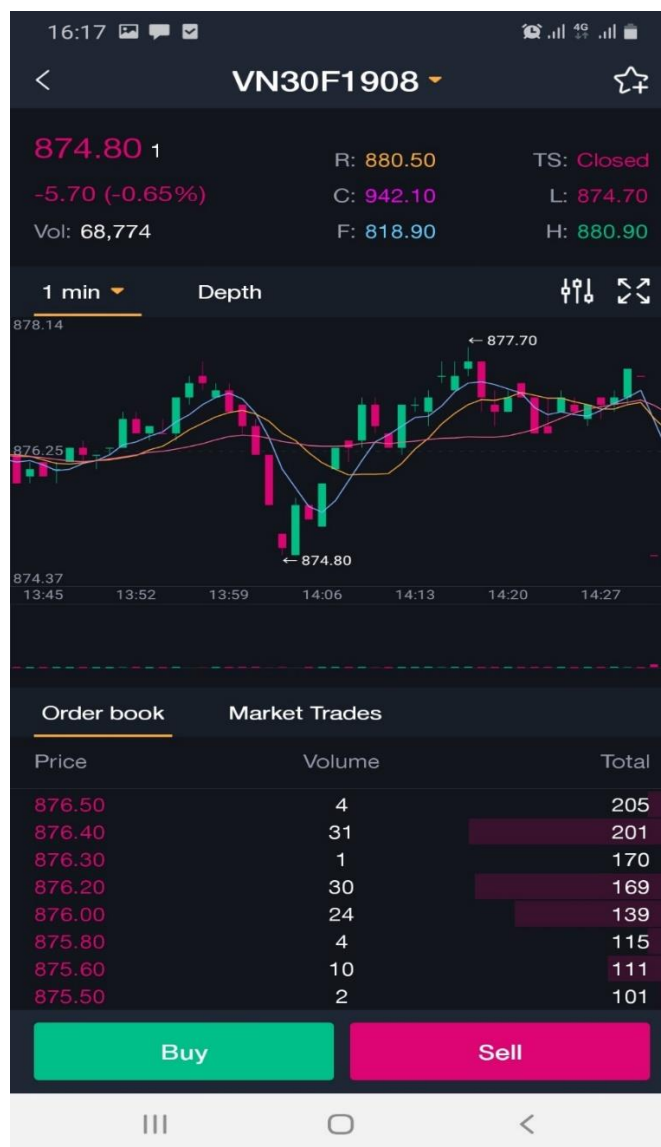
Markets		
Favorites		All
Symbol	Price	Change
GB05F1903	-	-
Ol: -	Vol: -	-
GB05F1904	-	-
Ol: -	Vol: -	-
VN30F1907	-	-
Ol: -	Vol: -	-
VN30F1908	874.80	-5.70
Ol: 17,636	Vol: 1	-0.65%

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Shows information on futures contracts

Field name	Meaning
Symbol	Symbol of Futures Contracts
Open quantity	Open position quantity of market of symbol until present
Matching price	Latest matching price
Matching quantity	Latest matching quantity
Change	Change point percentage change

Choose a random symbol to see information



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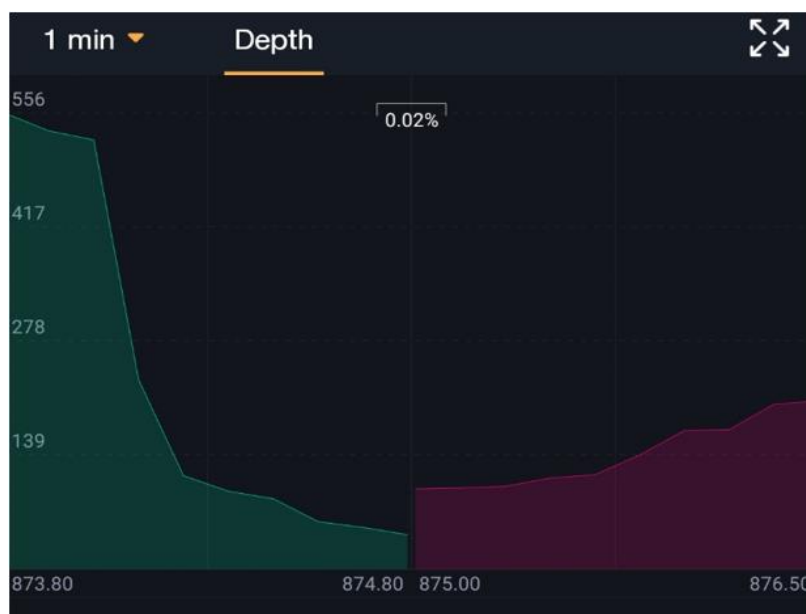
- Information on symbol securities: latest matching price and quantity, change (% change), reference price/ceiling/floor, highest matching price, lowest matching price

874.80 1	R: 880.50	TS: Closed
-5.70 (-0.65%)	C: 942.10	L: 874.70
Vol: 68,774	F: 818.90	H: 880.90

- Technical graph



- Depth graph



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- Order book: 10 best buy/sell prices

Order book	Market Trades	
Price	Volume	Total
876.50	4	205
876.40	31	201
876.30	1	170
876.20	30	169
876.00	24	139
875.80	4	115
875.60	10	111
875.50	2	101

- MarketTrade: Matching history

Order book	Market Trades	
Price	Volume	Time
874.80	68,774	14:46:02
874.80	4,107	14:45:06
877.30	4	14:30:08
877.30	107	14:30:03
877.40	29	14:29:59
877.10	72	14:29:54
877.10	129	14:29:50
877.10	164	14:29:46

- Buy/sell



Choose buy/sell to move onto trading screen

Find symbol:

Cancel





Search results:

GB05F1903	-	-	☆
GB05F1904	-	-	☆
VN30F1907	-	-	☆
VN30F1908	874.80	-5.70	☆

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Favourite tab

Choose icon  to show favourite symbols:

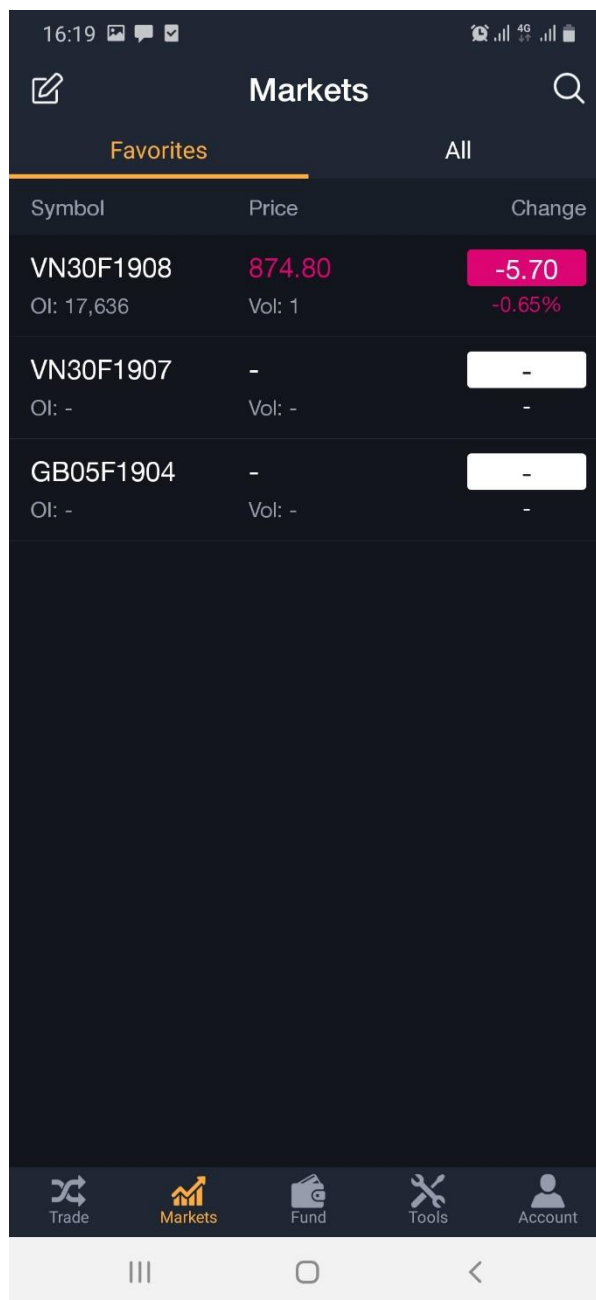
Please input Keywords			Cancel
Search results:			
GB05F1903	-	-	
GB05F1904	-	-	
VN30F1907	-	-	
VN30F1908	874.80	-5.70	

- Detailed information on default futures contract

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2.2.4.2. Favourite securities list

Futures contract information symbol



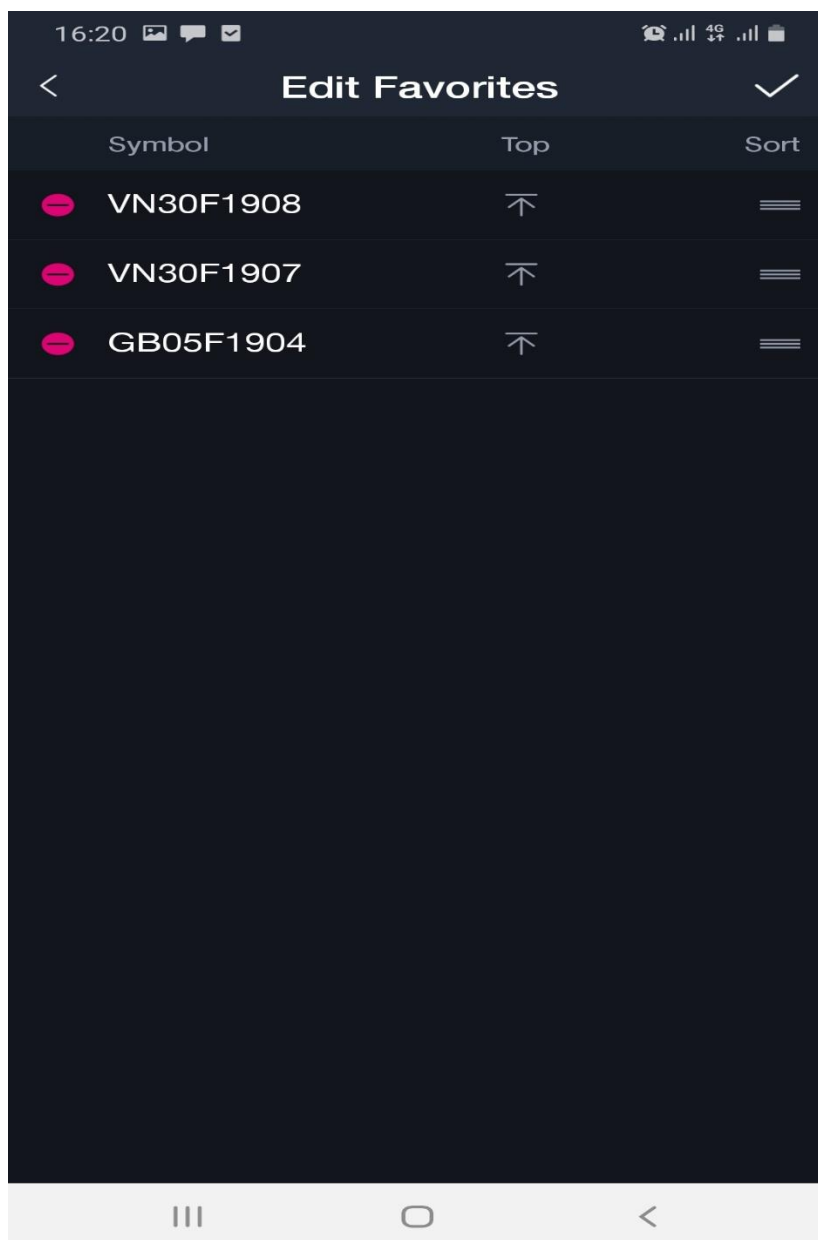
Markets		
Favorites		All
Symbol	Price	Change
VN30F1908	874.80	-5.70
OI: 17,636	Vol: 1	-0.65%
VN30F1907	-	-
OI: -	Vol: -	-
GB05F1904	-	-
OI: -	Vol: -	-

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Arrange list of favourite symbols




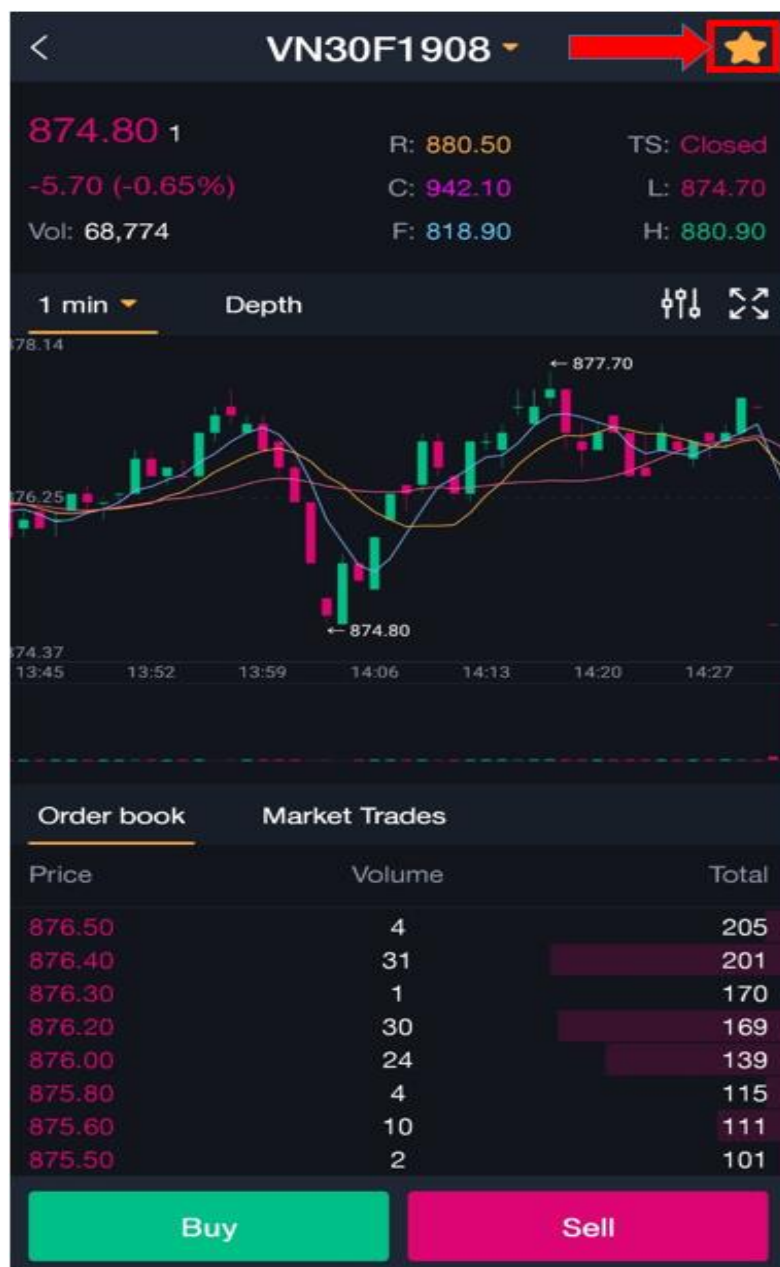
Choose icon to arrange Edit favourite:



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Delete favourite symbols

Choose icon  to uncheck a favourite symbol

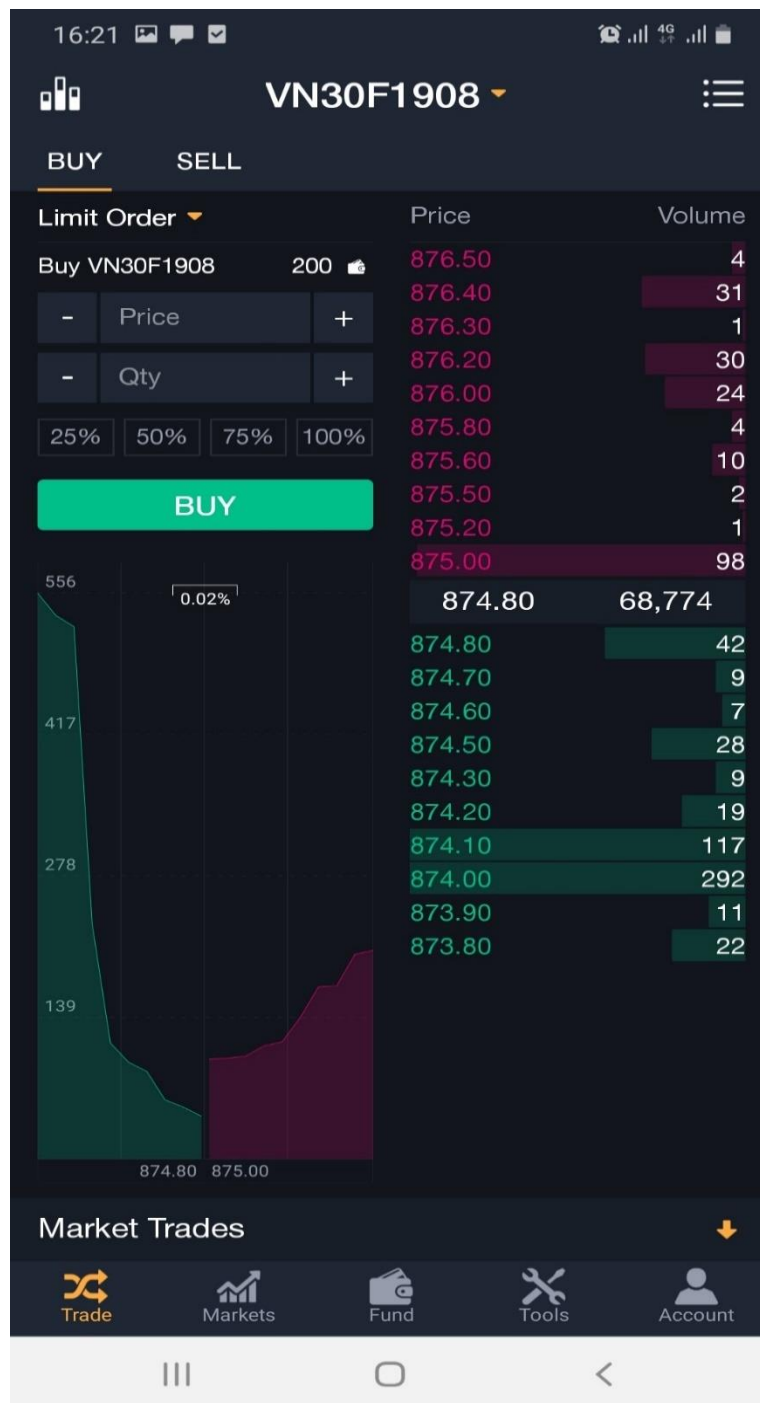


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2.2.5. Trading

UI of trading screen

- Area of order placement: limit order, market order, conditional order
- 10 best waiting buy/sell price
- Depth graph



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- Choose trading symbol

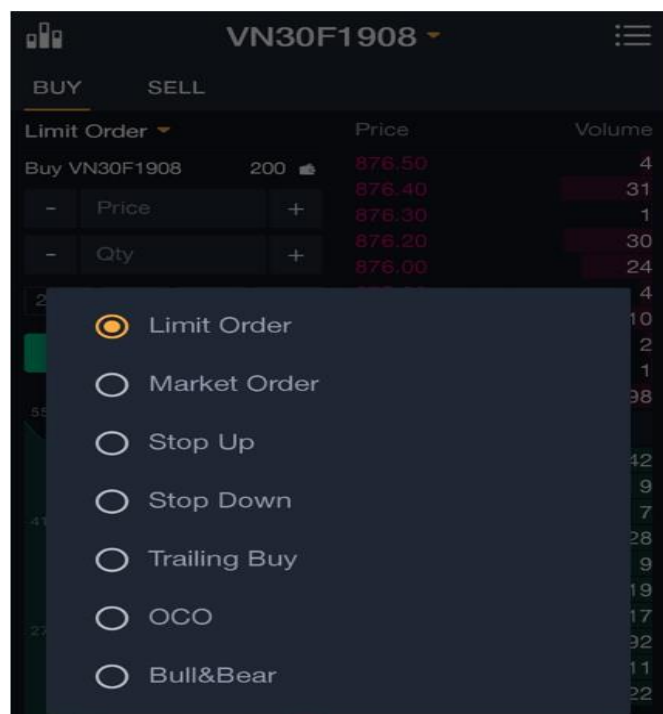


- Choose to show symbols trading

Screen for symbol choosing

Select Market		
Symbol	Price	Change
GB05F1903	-	-
GB05F1904	-	-
VN30F1907	-	-
VN30F1908	874.80	-5.70

Choose to show types of order to use:



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2.2.5.1. Place limit order

Screen for limit order placing

BUY SELL

Limit Order ▾

Buy VN30F1908 200 🏠

- Price +

- Qty +

25% 50% 75% 100%

BUY

2.2.5.2. Market order

- Choose market type

BUY SELL

Market Order ▾

Buy VN30F1908 200 🏠

ATC ▾

- Qty +

25% 50% 75% 100%

ATO

ATC

MAK

MOK

MTL

Price	Volume
876.50	4
876.40	31
876.30	1
876.20	30
876.00	24
875.80	4
875.60	10
875.50	2
	1
	98
	42
	9
	7
	28
	9
	19
	17
	32
	11

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- Screen for market order

The screenshot shows the 'BUY' tab selected. The order type is 'Market Order'. The instrument is 'Buy VN30F1908' with a quantity of '200'. Below this is a dropdown menu set to 'ATC'. There are three input fields: 'Qty' with minus and plus buttons, and two percentage buttons: '25%', '50%', '75%', and '100%'. At the bottom is a large green 'BUY' button.

2.2.5.3. Conditional order

- Stop up order

The screenshot shows the 'BUY' tab selected. The order type is 'Stop Up'. The instrument is 'Buy VN30F1908' with a quantity of '200'. Below this are three input fields, each with minus and plus buttons: 'Limit price', 'Stop price', and 'Qty'. At the bottom are percentage buttons: '25%', '50%', '75%', and '100%'. At the very bottom is a large green 'BUY' button.

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Stop up order	
Stop price	<p>When current price (latest matching price) reaches or goes over a stop limit, this order will be activated</p> <p>Stop price needs to satisfy a ceiling price, floor and needs to be greater than the market price at the time of order placement</p>
Limit price	Price when activated in system satisfies ceiling and floor price
Quantity	Quantity of order

- Stop Down order:

BUY

SELL

Stop Down ▼

Buy VN30F1908 200 

-

Limit price

+

-

Stop price

+

-

Qty

+

25%

50%

75%

100%

BUY

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Stop Down order	
Stop price	<p>When current price (latest matching price) reaches or smaller than stop price, stop price will be activated</p> <p>Stop price needs to satisfy ceiling price, floor price and smaller than market price at the time of order placement</p>
Limit price	Price when activated into system, satisfy ceiling price, floor price
Quantity	Order quantity

-Trailing Buy order

BUY

SELL

Trailing Buy ▼

Buy VN30F1908 200 🏠

-

Amplitude

+

-

Step price

+

-

Qty

+

25%

50%

75%

100%

BUY

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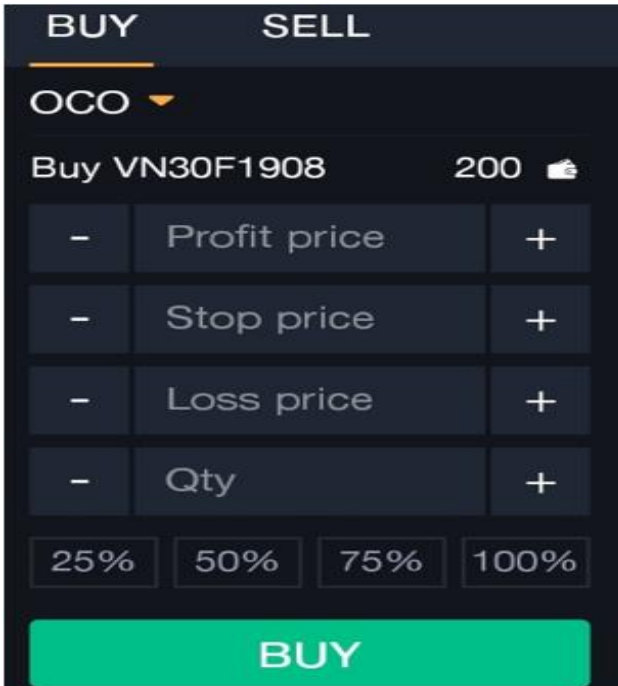
Trailing Buy order	
Vibration amplitude	<p>Vibration amplitude activates adjustment. When market price \leq activated price, push LO order with a placement price = market price + adjusted price "Limit offset" into the system</p> <p>Vibration amplitude activates needs to be (Trailing amount) >0, step price adjustment needs to be even step of system</p>
Price steps	Differences between order price and market price at the time of order activation. Price difference ≥ 0 and always even according to regulated steps of system
Quantity	Order quantity

- Trailing Sell order

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Trailing Sell order	
Vibration amplitude	<p>Vibration amplitude activates adjustment. When market price \leq activated price, push LO order with a placement price = market price + adjusted price "Limit offset" into the system</p> <p>Vibration amplitude activates needs to be (Trailing amount) >0, step price adjustment needs to be even step of system</p>
Price steps	Differences between order price and market price at the time of order activation. Price difference ≥ 0 and always even according to regulated steps of system
Quantity	Order quantity

- OCO order



BUY SELL

OCO

Buy VN30F1908 200

- Profit price +

- Stop price +

- Loss price +

- Qty +

25% 50% 75% 100%

BUY

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OCO order	
Profit price	Buying price of limit order, satisfy ceiling and floor
Stop price	Activated price for stop order, satisfy ceiling price, floor and satisfy rules of stop up order, stop down
Loss price	Price of stop order when activated satisfy ceiling and floor price.
Quantity	Order quantity

- Bull & Bear Order

BUY

SELL

Bull&Bear ▼

Buy VN30F1908 200 

Filled ▼

-

Limit price

+

-

Profit price

+

-

Stop price

+

-

Loss price

+

-

Qty

+

25%

50%

75%

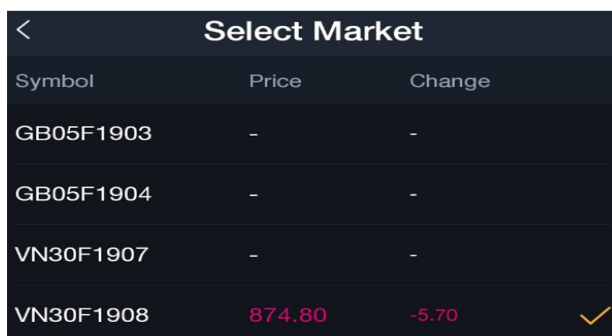
100%

BUY

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Bull & Bear order	
Limit price	Price for order placement of limit order Buy/Sell (open position order). Satisfy ceiling, floor price
profit price	Take profit expected price of investors when successfully place open position, satisfy ceiling price, floor price
Stop price	Cut loss position when investors set for stop order when place new open position successfully, satisfy ceiling price, floor price
Cut price	Price set for cut loss order when order is activated, satisfy ceiling price, floor price
Quantity	Quantity order

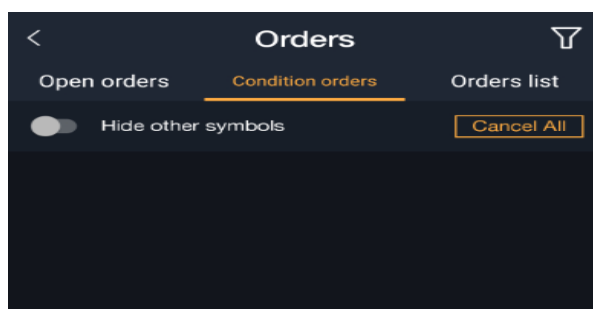
2.2.5.4. View symbol information



Select Market		
Symbol	Price	Change
GB05F1903	-	-
GB05F1904	-	-
VN30F1907	-	-
VN30F1908	874.80	-5.70

2.2.5.5. View order

- Condition order:



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- Order list

Orders		
Open orders	Condition orders	Orders list
B	VN30F1907	22/07/2019 13:27:37
Price: 957.00 / 1,027.20		
Quantity: 1 / 1		Match
S	VN30F1907	22/07/2019 12:55:09
Price: 892.90 / 892.80		
Quantity: 1 / 4		Partially matched orders
S	VN30F1907	22/07/2019 12:55:10
Price: 892.90 / 892.90		
Quantity: 3 / 3		Match

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